3.2 Aid Origination and Disbursement Subsystem

3.2.1 Description

The Aid Origination and Disbursement subsystem will manage the entire aid origination activity and will process the student level origination records and disbursement information supplied by schools.

This subsystem will authorize the payments to schools, state agencies and fund sources and will support the invoice disbursement method, the schedule disbursement method and the drawdown disbursement method.

This subsystem will support the disbursement of interest and special allowance to lenders, administrative expense allowances to guaranty agencies, and administrative cost allowances to schools (for the Pell Grant program and the Campus-Based Program).

In addition, this subsystem will maintain information about the Federal contributions to the State-Student Incentive Grant (SSIG) Program.

The subsystem will also track the enrollment status of students.

Additional functions of the Aid Origination and Disbursement subsystem include:

- Receive origination and disbursement records from schools and receive acceptance decision from the loan holder.

- Authorize invoice and schedule school disbursements.

- Receive, store, and distribute participant's authorizations for disbursements.

- Check incoming disbursement records for participant defaults, overpayments, and aid thresholds.

- Originate a consolidated direct loan.

- Distribute student-level disbursement information to schools.

- Monitor and adjusts the authorization levels for each school to ensure schools have sufficient funds to issue Pell Grants.

- Calculate and authorize administrative costs allowance payments issued to schools for reimbursement for costs incurred for administering Pell Grant Program.

- Simulate, calculate, and allocate the authorization amount to be awarded to a school for each of the Campus-Based Programs.

- Reallocate unexpended funds to schools that have a shortfall in Campus-Based funds.

- Reimburse schools for the amount of Perkins loans they have reported as being canceled. Additionally, the subsystem processes the low income information received form state education offices to generate a low income school directory.

- Perform reconciliation of drawdown amounts disbursed to schools.

A graphical representation of the processes that comprise the Aid Origination and Disbursement subsystem and its associated external entities can be found in **Exhibit 3.2-1 Aid Origination and Disbursement Subsystem**.
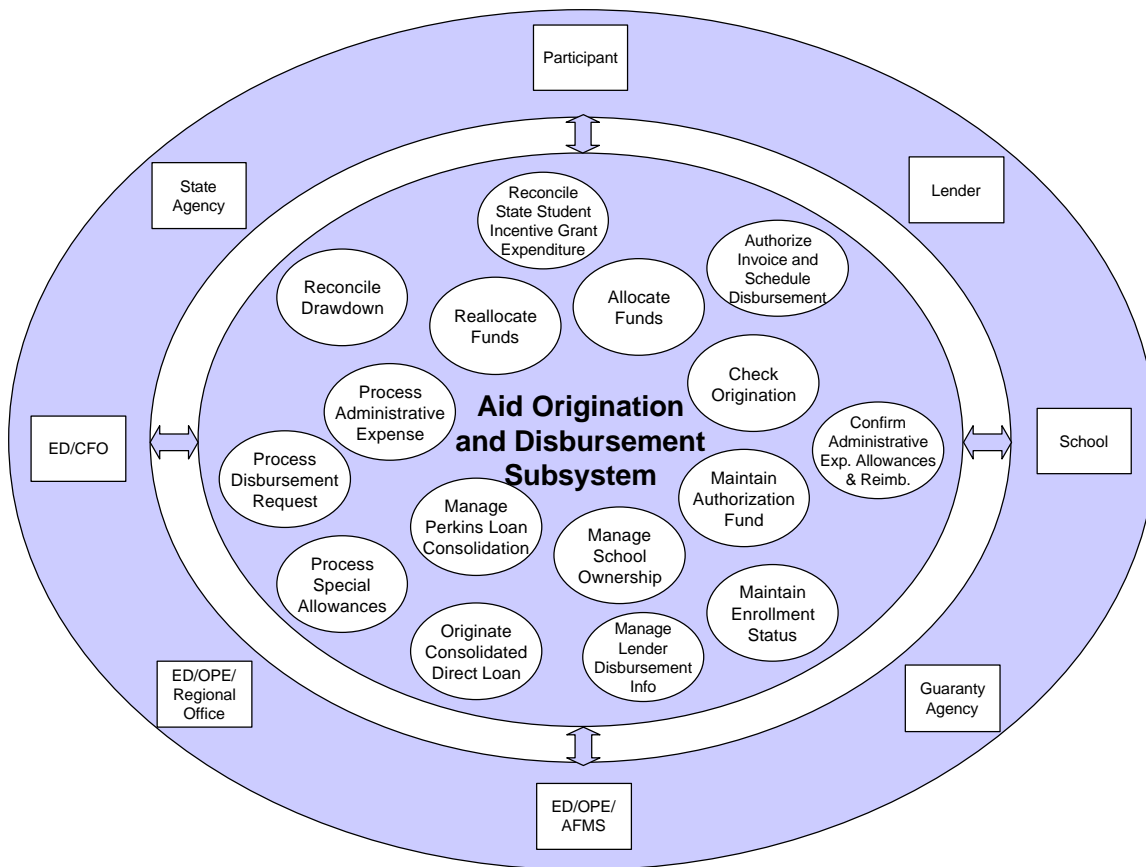

Exhibit 3.2-1 Aid Origination and Disbursement Subsystem

An index of each of the processes is included below for easy reference.

3.2.2 Subsystem Processes and Requirements

This section contains the list of processes comprising the Aid Origination and Disbursement Subsystem and the business requirements supporting the functionality of each process.

Process	Definition
Allocate Fund	This activity simulates, calculates, and allocates the authorization amount to be awarded to a school for each of the Campus-based programs, and generates an award notice to be sent to the school.

1260.03 The system shall support the drawdown method of disbursements to schools. Schools will request a sum of money from ED. This sum is calculated as either the accumulated total of the student disbursement amounts provided on the individual origination records, grouped by date (for Direct Loans and Pell Grants), or the amount authorized for that school based on a program-specific funding formula (for Perkins Loans, Federal Supplemental Education Opportunity Grant [FSEOG], Federal Work Study [FWS], and State Student Incentive Grant [SSIG]).

1530.01 The system shall calculate at least annually the amount of funds authorized for a school participating in the Campus Based programs.

1540 The system shall provide Federal Perkins Loan, FSEOG, and FWS school authorization amounts to ED/OPE/Institutional Financial Management Division (IFMD).

1542 The system shall simulate Campus Based award amounts at the request of IFMD, using the school information stored in the system at the time of the request.

1543 The system shall allow the allocation of special authorization amounts for Campus Based programs.

1580.01 The system shall notify schools of their Campus Based Program authorization amounts.

5260 The system shall adjust school awards and authorizations if necessary.

5280 The system shall track Perkins loan portfolio balances and FCC disbursed amounts per school per award year.

5310 The system shall process prior year award adjustments when necessary.

Process	Definition
Authorize Drawdown School Disbursement	This activity authorizes lump sum drawdown school disbursements for Campus Based, Pell and other aid programs. The drawdown school disbursement is the sum of the accumulated total of the student disbursement amounts provided on the individual origination records, grouped by date.

1230 The system shall use a common integrated origination, payment, and reconciliation process for all Title IV student financial assistance programs.

1240   The system shall accommodate standard formats for origination, disbursement, adjustment, and cancellation records for all Title IV student financial assistance programs.

1260.03   The system shall support the drawdown method of disbursements to schools. Schools will request a sum of money from ED. This sum is calculated as either the accumulated total of the student disbursement amounts provided on the individual origination records, grouped by date (for Direct Loans and Pell Grants), or the amount authorized for that school based on a program-specific funding formula (for Perkins Loans, Federal Supplemental Education Opportunity Grant [FSEOG], Federal Work Study [FWS], and State Student Incentive Grant [SSIG]).

1350   The system shall maintain an audit trail of all student aid origination records, disbursement records, adjustments and cancellations by program by student by fund source, and by school.

1410   The system shall authorize school drawdown authorizations based on school academic period start date and one of the following: Perkins Loan funding allotments, FSEOG allotments, FWS allotments, SSIG allotments, or disbursement dates on Direct Loan and Pell Grant origination records.

1420   The system shall confirm participant enrollment and eligibility prior to the disbursement of Pell Grant funds to a school.

1612   The system shall assign a Direct Loan servicer to a participant upon the first disbursement of a Direct Loan for that participant.

2920   The system shall provide to authorized staff visibility to audit trail information (backward and forward from the point of origination through repayment) to ensure that for each Title IV program, the correct Federal funds reach the right recipient at the appropriate time.

Process	Definition
Authorize Invoice and Schedule Disbursement	This activity authorizes invoice and schedule school disbursements based on valid incoming origination and/or disbursement records. For the invoice method, the disbursement will be authorized based on valid disbursement records (invoices) submitted by schools. For the schedule method, a disbursement is authorized baseon the scheduled disbursement date in the aid origination record.

1230   The system shall use a common integrated origination, payment, and reconciliation process for all Title IV student financial assistance programs.

1240   The system shall accommodate standard formats for origination, disbursement, adjustment, and cancellation records for all Title IV student financial assistance programs.

1260.01   The system shall support the invoice method of disbursement. Fund sources will generate automated clearinghouse (ACH) payments in response to student disbursement

records (invoices) submitted by schools. Schools will report any adjustments or cancellations of disbursement in future transaction batches.

1260.02 The system shall support the scheduled method of disbursement to schools. Fund sources will generate ACH payments and student disbursement rosters based on the scheduled disbursement dates in the award origination record. The schools must update or cancel the scheduled disbursement dates timely so that overpayments are not made to the school.

1350 The system shall maintain an audit trail of all student aid origination records, disbursement records, adjustments and cancellations by program by student by fund source, and by school.

1400 The system shall authorize adjustments, cancellations, invoice disbursements, and scheduled disbursements to schools. This shall be based on the participant's authorization of the disbursement, school academic period start date, student default status (where the defaulted loan is not reinstated), grant overpayment status, and the award threshold amount for the aid program.

1420 The system shall confirm participant enrollment and eligibility prior to the disbursement of Pell Grant funds to a school.

1510 The system shall provide disbursement records, adjustment, and cancellation to ED satellite offices, currently ED Regional Offices, for schools that have been placed on ED's reimbursement program or who are involved in the cash monitoring program.

1520 The system shall receive accepted/rejected origination records, disbursement records, adjustments, and cancellations from ED satellite offices, currently ED Regional Offices.

1612 The system shall assign a Direct Loan servicer to a participant upon the first disbursement of a Direct Loan for that participant.

2920 The system shall provide to authorized staff visibility to audit trail information (backward and forward from the point of origination through repayment) to ensure that for each Title IV program, the correct Federal funds reach the right recipient at the appropriate time.

Process   **Definition**

Check Origination   This activity receives origination and disbursement records from schools and checks for a valid edit result. For Federal Family Educational Loans Program (FFELP) loans, this activity receives an acceptance decision from the loan holder and forwards it to the school.

1220 The system shall apply disbursements, adjustments, and cancellations to achieve an accurate daily net settlement.

1224 The system shall receive disbursement records, origination records, adjustments, and cancellations from schools and from fund sources.

1230 The system shall use a common integrated origination, payment, and reconciliation process for all Title IV student financial assistance programs.

1240   The system shall accommodate standard formats for origination, disbursement, adjustment, and cancellation records for all Title IV student financial assistance programs.

1270   The system shall enable ED and other specified fund sources to accept or reject award origination records based upon Title IV aid program criteria.

1350   The system shall maintain an audit trail of all student aid origination records, disbursement records, adjustments and cancellations by program by student by fund source, and by school.

1445   The system shall notify schools and participants when two or more origination records are received from different schools for the same academic period and the same participant.

1446   The system shall notify schools and participants when two or more disbursement records are received from different schools for the same academic period and the same participant.

1450   The system shall edit origination records based on school academic period start date, student default status (where the defaulted loan is not reinstated), grant overpayment status, and award threshold amount for the aid program.

1458   The system shall transmit to state grant agencies the origination records for residents of the state receiving Pell Grants.

1460   The system shall inform the school, the participant, and the fund source of the results of edits applied to disbursement records, origination records, adjustments, and cancellations.

2910   The system shall monitor the receipt of disbursement records from schools to ensure that they are submitted on a timely basis.

2920   The system shall provide to authorized staff visibility to audit trail information (backward and forward from the point of origination through repayment) to ensure that for each Title IV program, the correct Federal funds reach the right recipient at the appropriate time.

5260   The system shall adjust school awards and authorizations if necessary.

Process	**Definition**

Confirm Administrative Expense Allowance Reinsurance Claim

This activity confirms administrative expense allowances (AEAs) and reinsurance claims submitted by guaranty agencies.

1630   The system shall confirm administrative expense allowance (AEA) invoice amounts received from guaranty agencies, generate payment requests, and send them to ED/CFO for payment.

1640   The system shall receive administrative expense allowance (AEA) invoices from guaranty agencies.

1650   The system shall receive reinsurance claim data from guaranty agencies.

1652   The system shall confirm reinsurance claim data received from guaranty agencies, generate payment requests, and send them to ED/CFO for payment.

1654   The system shall maintain reinsurance claim information received from guaranty agencies.

1656   The system shall send approvals/rejections of AEA and reinsurance claims information to guaranty agencies.

1658   The system shall offset AEA and reinsurance payment amounts for receivables due to ED for those receivables that could not be collected by other means.

1660   The system shall maintain information on AEA payments made by ED.

2760   The system shall receive reinsurance claims from guaranty agencies and, in response, shall authorize a payment request.

Process	**Definition**

Edit School Disbursement Record

This activity checks the incoming disbursement records for participant defaults, overpayments and aid thresholds. The outcome of this check is the valid disbursement record.

1270   The system shall enable ED and other specified fund sources to accept or reject award origination records based upon Title IV aid program criteria.

1400   The system shall authorize adjustments, cancellations, invoice disbursements, and scheduled disbursements to schools. This shall be based on the participant's authorization of the disbursement, school academic period start date, student default status (where the defaulted loan is not reinstated), grant overpayment status, and the award threshold amount for the aid program.

1450 The system shall edit origination records based on school academic period start date, student default status (where the defaulted loan is not reinstated), grant overpayment status, and award threshold amount for the aid program.

2920 The system shall provide to authorized staff visibility to audit trail information (backward and forward from the point of origination through repayment) to ensure that for each Title IV program, the correct Federal funds reach the right recipient at the appropriate time.

Process	**Definition**
Maintain Authorization Fund	This activity monitors and adjusts the authorization levels for each school to ensure schools have sufficient funds to issue Pell Grants.

1260.03 The system shall support the drawdown method of disbursements to schools. Schools will request a sum of money from ED. This sum is calculated as either the accumulated total of the student disbursement amounts provided on the individual origination records, grouped by date (for Direct Loans and Pell Grants), or the amount authorized for that school based on a program-specific funding formula (for Perkins Loans, Federal Supplemental Education Opportunity Grant [FSEOG], Federal Work Study [FWS], and State Student Incentive Grant [SSIG]).

1572.02 The system shall adjust Pell Grant authorization amounts for schools participating in the Pell Grant program.

1580.02 The system shall notify schools of their Pell Grant authorization amounts.

1590.02 The system shall generate school Pell Grant ACH payment requests.

Process	**Definition**
Maintain Enrollment Status	This activity tracks a student's enrollment status at a school. Participant status is requested from schools and forwarded to loan holders and guaranty agencies.

2820 The system shall require schools or their servicers to report enrollment data such as percentage enrollment, credit hours, and clock hours on all enrolled students.

2830 The system shall require schools to report student enrollment changes no less frequently than once per academic period, but shall allow them to report as often as they wish.

2850 The system shall provide to loan holders the participant enrollment changes that affect aid status.

2870 The system shall allow state scholarship and grant agencies access to participant enrollment information.

Process	**Definition**
Manage Lender Disbursement Information	This activity receives fund source disbursement information and default loan information from the lender. This activity also distributes student-level disbursement information to schools.

1224   The system shall receive disbursement records, origination records, adjustments, and cancellations from schools and from fund sources.

1370   The system shall produce specified reports that meet Federal account requirements and Federally mandated school reporting requirements from data in the transaction histories.

1440   The system shall notify the school when the system authorizes disbursement of funds to the school.

1460   The system shall inform the school, the participant, and the fund source of the results of edits applied to disbursement records, origination records, adjustments, and cancellations.

1600   The system shall provide student disbursement rosters to schools. The disbursement rosters shall list the students for whom the disbursement being made to the school was intended, and the award amount that each student is expected to receive.

Process	**Definition**
Manage Perkins Loan Cancellation	This activity reimburses schools for the amount of Perkins loans they have reported as being canceled. It also processes lowincome school information received from state education offices to generate a low income school directory.

1252   The system shall calculate the amount of money due to a school as a result of Perkins Loan cancellations in the previous award year.

1254   The system shall request annually low income school information from state education offices, based on the screening criteria sent to them.

1256   The system shall produce a low income school directory based on information received from state education offices.

1258   The system shall send all Perkins Loan schools a copy of the low income school directory annually.

1590.01   The system shall generate school Campus Based Program ACH payment requests.

Process   **Definition**

Manage State Sponsored Instructional   This activity manages information about the federal
Grant Authorization   State Student Incentive Grant (SSIG) contributions to a
                                                   given state. The SSIG contribution is alloted based on
                                                   several criteria.

1580.03   The system shall notify states of their SSIG authorization amounts.

1585   The system shall receive profile information from state grant agencies.

1590.03   The system shall generate state SSIG ACH payment requests.

Process   **Definition**

Originate Consolidated Direct Loan   This activity produces the origination records for a
                                                   Consolidated Direct Loan.

1230   The system shall use a common integrated origination, payment, and reconciliation
          process for all Title IV student financial assistance programs.

1270   The system shall enable ED and other specified fund sources to accept or reject award
          origination records based upon Title IV aid program criteria.

1350   The system shall maintain an audit trail of all student aid origination records,
          disbursement records, adjustments and cancellations by program by student by fund
          source, and by school.

1450   The system shall edit origination records based on school academic period start date,
          student default status (where the defaulted loan is not reinstated), grant overpayment
          status, and award threshold amount for the aid program.

2176   The system shall originate Direct Consolidation Loans.

2920   The system shall provide to authorized staff visibility to audit trail information (backward
          and forward from the point of origination through repayment) to ensure that for each Title
          IV program, the correct Federal funds reach the right recipient at the appropriate time.

Process   **Definition**

Process Administrative Cost Allowance   This activity calculates and authorizes administrative
                                                   cost allowance payments issued to schools for
                                                   reimbursement for costs incurred by the school for the
                                                   administration of the Pell Grant program.

1456   The system shall calculate Pell Grant Administrative Cost Allowances (ACAs) due to
          schools and generate payment requests.

Process   **Definition**

Process Initial Authorization   This activity determines the initial authorization amount and notifies each school participating in the Pell Grant program of their initial authorization amount. The authorization amount is the maximum aggregate amount that each institution is allowed to distribute in the form of Pell Grant payments. The initial authorization amount is the amount calculated at the beginning of the program year.

1260.03 The system shall support the drawdown method of disbursements to schools. Schools will request a sum of money from ED. This sum is calculated as either the accumulated total of the student disbursement amounts provided on the individual origination records, grouped by date (for Direct Loans and Pell Grants), or the amount authorized for that school based on a program-specific funding formula (for Perkins Loans, Federal Supplemental Education Opportunity Grant [FSEOG], Federal Work Study [FWS], and State Student Incentive Grant [SSIG]).

1530.02 The system shall calculate at least annually the amount of funds authorized for a school participating in the Pell Grant program.

1580.02 The system shall notify schools of their Pell Grant authorization amounts.

1590.02 The system shall generate school Pell Grant ACH payment requests.

Process   **Definition**

Process Interest Claim   This activity authorizes and processes interest invoice claims from fund sources.

1610   The system shall receive loan holder interest and special allowance invoices from the loan holders.

1614   The system shall send approval/rejection notices to the loan holder for interest and special allowance invoices received from that loan holder.

1616   The system shall offset interest and special allowance payments for receivables due to ED for those receivables that could not be collected by other means.

1620   The system shall provide loan holder interest and special allowance authorizations to ED/CFO for payment.

1670   The system shall maintain information on interest and special allowance payments made by ED.

Process   **Definition**

Process Participant Disbursement Request This activity receives, stores, and distributes participant authorizations. Participant authorizations are necessary before any funds are disbursed to the school.

1080   The system shall provide participants with information on long term debt management, including:

- projected potential earnings after graduation by school program
- projected monthly payments after graduation, based on different types of aid packages available

1380   The system shall require that the participant authorize the disbursement of funds to a school before the disbursement is made on a Stafford, PLUS, or Perkins loan for that participant.

1390   The system shall prompt the participant to authorize the disbursement of funds to a school for the participant's loan. The prompt shall occur when the participant has not authorized the disbursement to the school within 30 days of the effective date of the disbursement request made by the school.

1710   The system shall allow participants to request a lender from a list of available lenders.

1720   The system shall notify lenders and schools of an applicant's request for a Federal Family Education Loan Program (FFELP) lender.

2001   The system shall provide the maximum loan interest rate to the participant prior to the disbursement authorization.

2003   The system shall provide formal loan disclosures to the participant which indicates the actual interest rate for the loan.

Process	**Definition**
Process Special Allowance	This activity authorizes and processes special allowance invoices from fund sources.

1610   The system shall receive loan holder interest and special allowance invoices from the loan holders.

1614   The system shall send approval/rejection notices to the loan holder for interest and special allowance invoices received from that loan holder.

1616   The system shall offset interest and special allowance payments for receivables due to ED for those receivables that could not be collected by other means.

1620   The system shall provide loan holder interest and special allowance authorizations to ED/CFO for payment.

1670   The system shall maintain information on interest and special allowance payments made by ED.

Process	**Definition**
Reallocate Fund	This activity reallocates unexpended funds to schools that have a shortfall in Campus-Based funds.

1260.03   The system shall support the drawdown method of disbursements to schools. Schools will request a sum of money from ED. This sum is calculated as either the accumulated total of the student disbursement amounts provided on the individual origination records, grouped by date (for Direct Loans and Pell Grants), or the amount authorized for that school based on a program-specific funding formula (for Perkins Loans, Federal Supplemental Education Opportunity Grant [FSEOG], Federal Work Study [FWS], and State Student Incentive Grant [SSIG]).

1540   The system shall provide Federal Perkins Loan, FSEOG, and FWS school authorization amounts to ED/OPE/Institutional Financial Management Division (IFMD).

1572.01   The system shall reallocate school Campus Based Program (Perkins Loan, FSEOG, and FWS) authorization amounts based upon unexpended award balances as reported by schools.

1580.01   The system shall notify schools of their Campus Based Program authorization amounts.

Process	Definition
Reconcile Drawdown	This activity performs reconciliations of drawdown amounts disbursed to schools against disbursements, adjustments, and cancellation records received.

1230   The system shall use a common integrated origination, payment, and reconciliation process for all Title IV student financial assistance programs.

1260.03   The system shall support the drawdown method of disbursements to schools. Schools will request a sum of money from ED. This sum is calculated as either the accumulated total of the student disbursement amounts provided on the individual origination records, grouped by date (for Direct Loans and Pell Grants), or the amount authorized for that school based on a program-specific funding formula (for Perkins Loans, Federal Supplemental Education Opportunity Grant [FSEOG], Federal Work Study [FWS], and State Student Incentive Grant [SSIG]).

1412   The system shall reconcile the amounts drawn down by schools against the amounts reported by the schools as having been disbursed to students.

1592   The system shall receive information about unused drawdown funds returned by schools to ED.

Process	Definition
Reconcile State Sponsored Instructional Grant Expenditure	This activity reconciles the disbursement roster sent by schools to the state with the disbursements made to students.

1260.03   The system shall support the drawdown method of disbursements to schools. Schools will request a sum of money from ED. This sum is calculated as either the accumulated total of the student disbursement amounts provided on the individual origination records, grouped by date (for Direct Loans and Pell Grants), or the amount authorized for that

school based on a program-specific funding formula (for Perkins Loans, Federal Supplemental Education Opportunity Grant [FSEOG], Federal Work Study [FWS], and State Student Incentive Grant [SSIG]).

1587   The system shall receive reports on disbursements of SSIG funds from state grant agencies.

3.2.3 External Interfaces

The following diagrams display the data flows exchanged between each process in the Aid Origination Subsystem and the external entities. Detailed information regarding the data flows presented in each diagram is listed subsequent to the diagram

3.2.3.1 Data Flow Diagrams

The following diagram presents the flows of information exchanged between the Allocate Funds process and the postsecondary education community.
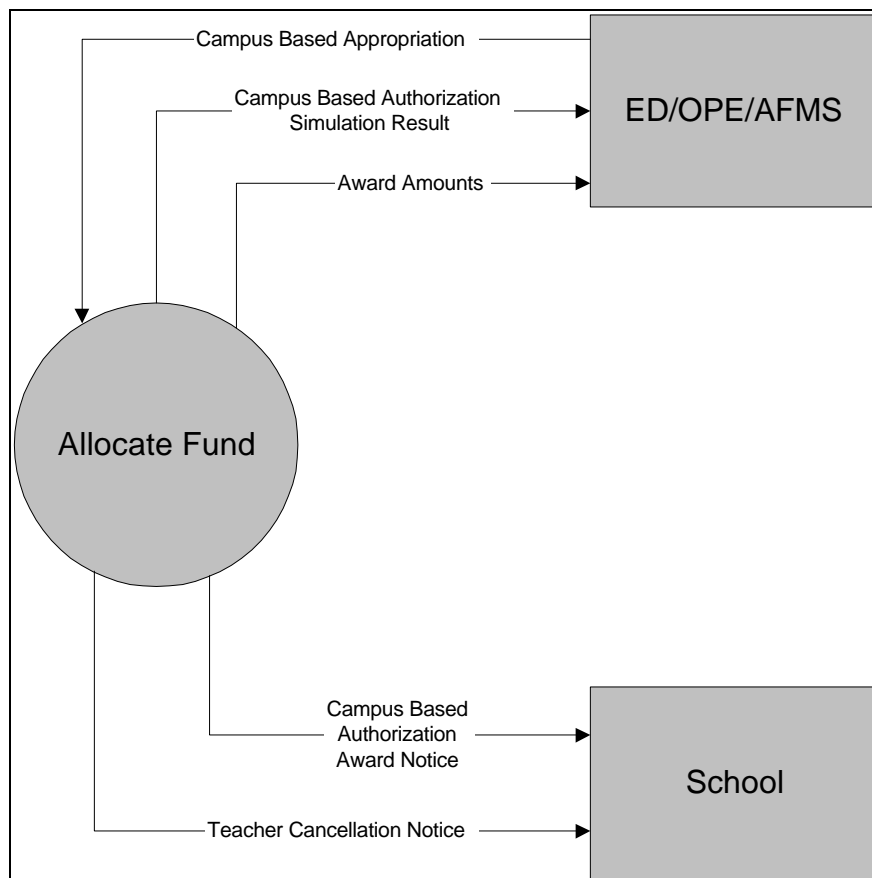
Exhibit 3.2.3-1 Allocate Fund

Flow Name: Award Amounts
Definition: Amount allocated to a school for the Campus Based programs in which it is participating.

To ED/OPE/AFMS From EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
CAMPUS BASED PROGRAM	
PERKINS AWARD AMOUNT	
	AWARD / AWARD_AMT
	AWARD / AWARD_CAT
CAMPUS BASED PROGRAM INFORMATION	
AWARD YEAR	
	AWARD / APPLICABLE_AWARD_YR_NMBR
EFFECTIVE DATE	
	AWARD / DRAWDOWN_START_DATE
FSEOG AWARD AMOUNT	
	AWARD / AWARD_AMT
	AWARD / AWARD_CAT
FWS AWARD AMOUNT	
	AWARD / AWARD_AMT
	AWARD / AWARD_CAT
SCHOOL INFORMATION	
SCHOOL IDENTIFIER	
	SCHOOL / SCHOOL_ID

Flow Name: Campus Based Appropriation
Definition: Notice of Campus-Based Appropriation.

From ED/OPE/AFMS To EASI/ED

<u>Data Elements</u>	<u>Corresponding LDM Attribute(s)</u>
AWARD YEAR	
	AWARD / APPLICABLE_AWARD_YR_NMBR
TOTAL PELL FUNDS ALLOCATED	
	AWARD / AWARD_AMT
	AWARD / AWARD_CAT
FEDERAL FUNDS INFORMATION	
TOTAL FEDERAL SUPPLEMENTAL EDUCATIONAL OPPORTUNITY GRANTS ALLOCATED	
	AWARD / AWARD_AMT
	AWARD / AWARD_CAT
TOTAL FEDERAL WORK STUDY FUNDS ALLOCATED	
	AWARD / AWARD_AMT
	AWARD / AWARD_CAT
TOTAL PERKINS FUNDS ALLOCATED	
	AWARD / AWARD_AMT
	AWARD / AWARD_CAT

Flow Name: Campus Based Authorization Simulation Result
Definition: Result of a Campus Based Simulation Request.

To ED/OPE/AFMS From EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**

FEDERAL FUNDS INFORMATION

    FEDERAL PERKINS FUNDS AWARD AMOUNT

            AWARD / AWARD_AMT

            AWARD / AWARD_CAT

    FEDERAL SUPPLEMENTAL EDUCATIONAL
    OPPORTUNITY FUNDS AWARD AMOUNT

            AWARD / AWARD_AMT

            AWARD / AWARD_CAT

    FEDERAL WORK-STUDY FUNDS AWARD AMOUNT

            AWARD / AWARD_AMT

            AWARD / AWARD_CAT

    SCHOOL INFORMATION

    SCHOOL IDENTIFIER

            SCHOOL / SCHOOL_ID

Flow Name: Campus Based Authorization Award Notice
Definition: A notification to a school indicating the amount of Campus Based authorization the Department of Education has awarded the school.

To School From EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**

CAMPUS BASE PROGRAM INFORMATION

    AWARD YEAR

        AWARD /
        APPLICABLE_AWARD_YR_NMBR

    EFFECTIVE DATE

        AWARD / DRAWDOWN_START_DATE

    FEDERAL PERKINS FUNDS AWARD AMOUNT

        AWARD / AWARD_AMT

        AWARD / AWARD_CAT

    FEDERAL SUPPLEMENTAL EDUCATIONAL
    OPPORTUNITY GRANT AWARD AMOUNT

        AWARD / AWARD_AMT

        AWARD / AWARD_CAT

    FEDERAL WORK-STUDY FUNDS AWARD AMOUNT

        AWARD / AWARD_AMT

        AWARD / AWARD_CAT

SCHOOL INFORMATION

    SCHOOL IDENTIFIER

        SCHOOL / SCHOOL_ID

Flow Name: Teacher Cancellation Notice

Definition: A notification to a school indicating the amount of Teacher Cancellation funds awarded the school.

To School From EASI/ED

<u>Data Elements</u>	<u>Corresponding LDM Attribute(s)</u>
AWARD YEAR	
	AWARD / APPLICABLE_AWARD_YR_NMBR
EFFECTIVE DATE	
	AWARD / DRAWDOWN_START_DATE
SCHOOL ID	
	SCHOOL / SCHOOL_ID
TEACHER CANCELLATION AMOUNT (AFTER JULY 1, 1972)	
	AWARD / TEACHER_CANCELLATION_ AMT
TEACHER CANCELLATION AMOUNT (BEFORE JULY 1, 1972)	
	AWARD / TEACHER_CANCELLATION_ AMT

The following diagram presents the flows of information exchanged between the Authorize Invoice and Schedule Disbursement process and the postsecondary education community.
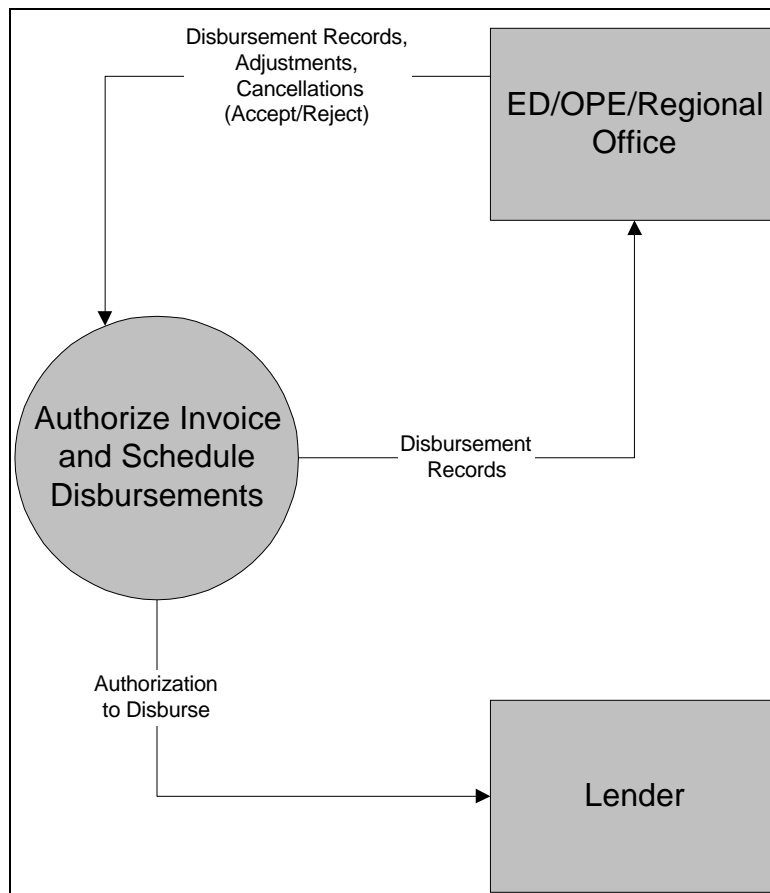
Exhibit 3.2.3-2 Authorize Invoice and Schedule Disbursements

Flow Name: Disbursement Records
Definition: Dates and amounts of the aid disbursements and to whom the funds will be disbursed.

To ED/OPE/Regional Office From EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
AID INFORMATION	
AID IDENTIFIER	
	AID / AID_ID
DISBURSEMENT INFORMATION	
DISBURSEMENT DATE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_DATE
DISBURSEMENT GROSS AMOUNT	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
DISBURSEMENT NUMBER	
	AID_ACCOUNT_TRANSACTION / TRXN_ID
PARTICIPANT INFORMATION	
BORROWER IDENTIFIER	
	AID_PARTICIPANT / PARTICIPANT_ROLE_CAT
	PARTICIPANT / PARTICIPANT_ID
SCHOOL INFORMATION	
SCHOOL IDENTIFIER	
	SCHOOL / SCHOOL_ID

Flow Name: Disbursement Records, Adjustments/Cancellations (Accept/Reject)
Definition: Some disbursement records require accept/reject decisions to authorize disbursements. Mostly these records come from schools on watch or probation by the Department of Education (ED). Records for probation are sent to an ED regional office for confirmation before disbursement.

From ED/OPE/Regional Office To EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
DISBURSEMENT INFORMATION	
DISBURSEMENT NUMBER	
	AID_ACCOUNT_TRANSACTION / TRXN_ID
DISBURSEMENT STATUS	
	AID_TRANSACTION_STATUS / TRXN_STAT_CAT
STATUS EFFECTIVE DATE	
	AID_TRANSACTION_STATUS / AID_TRXN_STAT_START_DATE
STATUS REASON	
	AID_ACCOUNT_TRANSACTION / ACCEPT_REJECT_REASON_DESC
LOAN INFORMATION	
AID IDENTIFIER	
	AID / AID_ID
PARTICIPANT INFORMATION	
STUDENT IDENTIFIER	
	PARTICIPANT / PARTICIPANT_ID
SCHOOL INFORMATION	
SCHOOL IDENTIFIER	
	SCHOOL / SCHOOL_ID

Flow Name: Authorization to Disburse
Definition: Authorization to disburse latest loan installment from loan holder (including the Department of Education) to participant's school.

To Lender From EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
AID INFORMATION	
AID IDENTIFIER	
	AID / AID_ID
DISBURSEMENT AMOUNT	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
DISBURSEMENT DATE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_DATE
DISBURSEMENT NUMBER	
	AID_ACCOUNT_TRANSACTION / TRXN_ID

The following diagram presents the flows of information exchanged between the Check Origination process and the postsecondary education community.
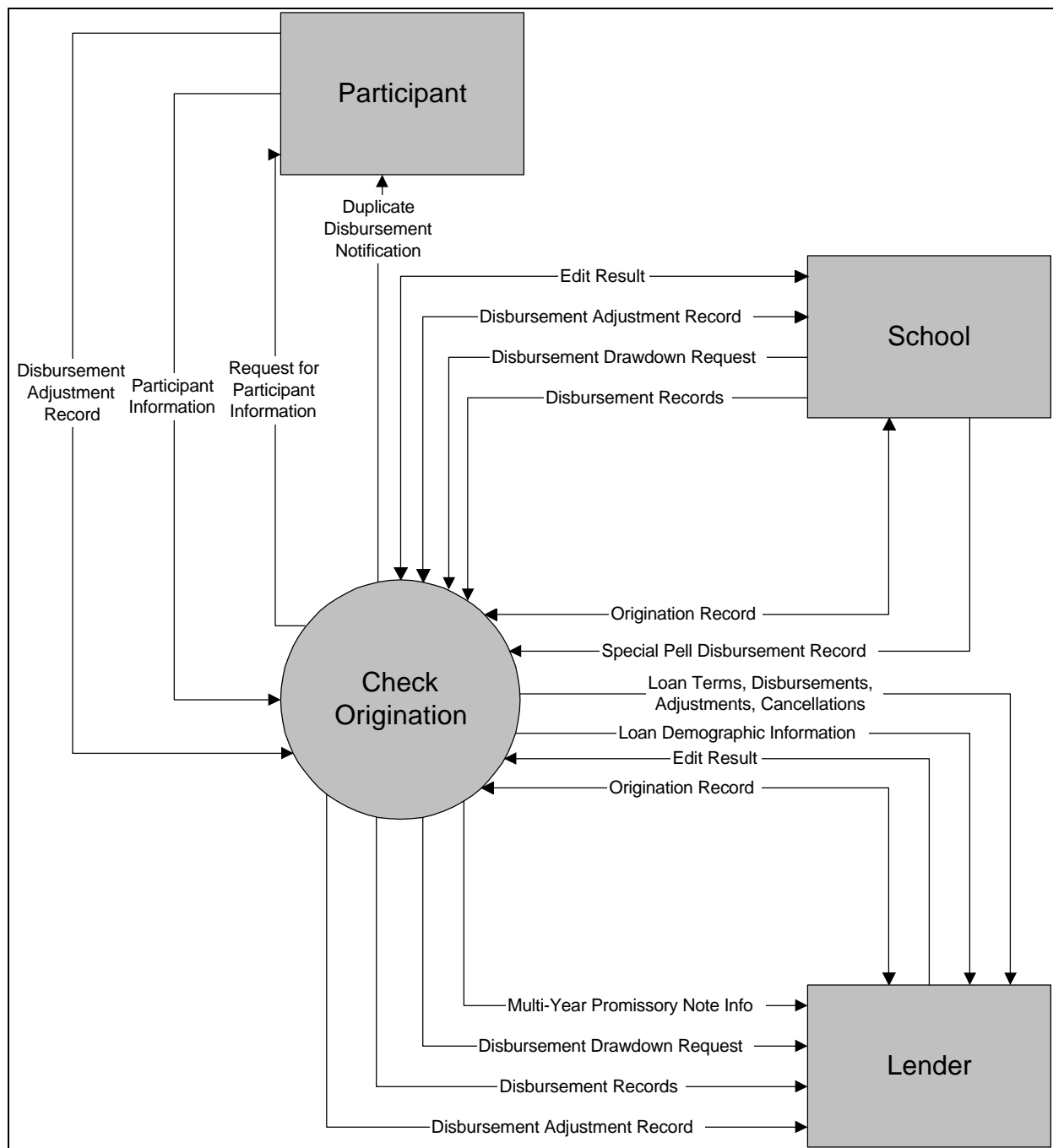
Exhibit 3.2.3-3 Check Origination

Flow Name: Disbursement Adjustment Record
Definition: Updates the disbursement record due to cancellation or refund.

To Lender From EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
AID INFORMATION	
AID IDENTIFIER	
	AID / AID_ID
DISBURSEMENT ADJUSTMENT AMOUNT	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
DISBURSEMENT AMOUNT	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
DISBURSEMENT DATE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_DATE

Flow Name: Disbursement Drawdown Request
Definition: The request for funds, by a school, to satisfy upcoming aid disbursements.

To Lender From EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
LOAN SCHEDULE INFORMATION	
AID IDENTIFIER	
	AID / AID_ID
ANTICIPATED DISBURSEMENT AMOUNT	
	AID_PRE_DISBURSEMENT / RECMD_DISB_AMT
ANTICIPATED DISBURSEMENT DATE	
	AID_PRE_DISBURSEMENT / RECMD_DISB_DATE
DISBURSEMENT DESTINATION	
	PARTICIPANT / PARTICIPANT_ID SCHOOL / SCHOOL_ID

Flow Name:　　Disbursement Records
Definition: Dates and amounts of the aid disbursements and to whom the funds will be disbursed.

To Lender From EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
LOAN INFORMATION	
AID IDENTIFIER	
	AID / AID_ID
DISBURSEMENT DATE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_DATE
DISBURSEMENT RECIPIENT	
	PARTICIPANT / PARTICIPANT_ID SCHOOL / SCHOOL_ID
GROSS DISBURSEMENT AMOUNT	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
NET DISBURSEMENT AMOUNT	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT

Flow Name: Edit Result
Definition: Acceptance or rejection of a school's submission of disbursement record(s).

From Lender To EASI/ED

Data Elements	Corresponding LDM Attribute(s)
LOAN INFORMATION	
ACCEPTANCE/REJECTION CODE	
	AID_TRANSACTION_STATUS / TRXN_STAT_CAT
ACCEPTANCE/REJECTION REASON	
	AID_ACCOUNT_TRANSACTION / ACCEPT_REJECT_REASON_DESC
AID IDENTIFIER	
	AID / AID_ID
DISBURSEMENT STATUS DATE	
	AID_TRANSACTION_STATUS / AID_TRXN_STAT_START_DATE
	AID_TRANSACTION_STATUS / AID_TRXN_STAT_START_TIME

Flow Name: Loan Demographic Information
Definition: Loan demographic information, adjustments, cancellations due to changes in enrollment and eligibility.

To Lender From EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**

LOAN INFORMATION

     COST OF ORIGINATION

                      AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT

     INTEREST CAPITALIZATION

                      REPAYMENT_INTEREST / CAPITALIZATION_IND

     ORIGINATION DATE

                      AID / ORIGNT_DATE

PARTICIPANT INFORMATION

     BORROWER ALIEN REGISTRATION NUMBER

                      PARTICIPANT / ALIEN_REGISTRATION_NMBR

     BORROWER DATE OF BIRTH

                      PARTICIPANT / BIRTH_DATE

     BORROWER DRIVER'S LICENSE NUMBER

                      PARTICIPANT / DRIVERS_LIC_NMBR

     BORROWER DRIVER'S LICENSE STATE

                      PARTICIPANT / DRIVERS_LIC_STATE_NAME

     BORROWER IDENTIFIER

                      AID_PARTICIPANT / PARTICIPANT_ROLE_CAT

                      PARTICIPANT / PARTICIPANT_ID

     BORROWER LOCAL ADDRESS

                      PARTICIPANT_ADDRESS / CITY_NAME

                      PARTICIPANT_ADDRESS / POSTAL_CODE

                      PARTICIPANT_ADDRESS / STATE_NAME

                      PARTICIPANT_ADDRESS / STREET_NAME

                      PARTICIPANT_ADDRESS_USAGE /

	PARTICIPANT_ADDR_USAGE_CAT
	PARTICIPANT_ADDRESS / CNTRY_NAME
BORROWER NAME, FIRST	
	PARTICIPANT_NAME / FIRST_NAME
BORROWER NAME, LAST	
	PARTICIPANT_NAME / LAST_NAME
BORROWER NAME, MIDDLE	
	PARTICIPANT_NAME / MIDDLE_NAME
BORROWER PERMANENT ADDRESS	
	PARTICIPANT_ADDRESS / CITY_NAME
	PARTICIPANT_ADDRESS / POSTAL_CODE
	PARTICIPANT_ADDRESS / STATE_NAME
	PARTICIPANT_ADDRESS / STREET_NAME
	PARTICIPANT_ADDRESS_USAGE / PARTICIPANT_ADDR_USAGE_CAT
	PARTICIPANT_ADDRESS / CNTRY_NAME
BORROWER SOCIAL SECURITY NUMBER	
	SOCIAL_SECURITY_NUMBER / SSN_NMBR
BORROWER TELEPHONE NUMBER	
	PARTICIPANT_ADDRESS / PHONE_NMBR
COSIGNER ALIEN REGISTRATION NUMBER	
	PARTICIPANT / ALIEN_REGISTRATION_NMBR
COSIGNER DATE OF BIRTH	
	PARTICIPANT / BIRTH_DATE
COSIGNER DRIVER'S LICENSE NUMBER	
	PARTICIPANT / DRIVERS_LIC_NMBR
COSIGNER DRIVER'S LICENSE STATE	
	PARTICIPANT / DRIVERS_LIC_STATE_NAME
COSIGNER IDENTIFIER	
	AID_PARTICIPANT /

	PARTICIPANT_ROLE_CAT
	PARTICIPANT / PARTICIPANT_ID
	PROMISSORY_NOTE_PARTICIPANT / NOTE_ROLE_CAT
COSIGNER LOCAL ADDRESS	
	PARTICIPANT_ADDRESS / STREET_NAME
COSIGNER NAME, FIRST	
	PARTICIPANT_NAME / FIRST_NAME
COSIGNER NAME, LAST	
	PARTICIPANT_NAME / LAST_NAME
COSIGNER NAME, MIDDLE	
	PARTICIPANT_NAME / MIDDLE_NAME
COSIGNER PERMANENT ADDRESS	
	PARTICIPANT_ADDRESS / CITY_NAME
	PARTICIPANT_ADDRESS / POSTAL_CODE
	PARTICIPANT_ADDRESS / STATE_NAME
	PARTICIPANT_ADDRESS / STREET_NAME
	PARTICIPANT_ADDRESS_USAGE / PARTICIPANT_ADDR_USAGE_CAT
	PARTICIPANT_ADDRESS / CNTRY_NAME
COSIGNER SIGNATURE DATE	
	PROMISSORY_NOTE / NOTE_START_DATE
COSIGNER SOCIAL SECURITY NUMBER	
	SOCIAL_SECURITY_NUMBER / SSN_NMBR
COSIGNER TELEPHONE NUMBER	
	PARTICIPANT_ADDRESS / PHONE_NMBR
STUDENT ALIEN REGISTRATION NUMBER	
	PARTICIPANT / ALIEN_REGISTRATION_NMBR
STUDENT ANTICIPATED GRADUATION DATE	
	SCHOOL_ENROLLMENT /

	DEGREE_DATE
STUDENT COLLEGE GRADE LEVEL	SCHOOL_ENROLLMENT / SCHOOL_GRADE_LEVEL_NAME
STUDENT DATE OF BIRTH	PARTICIPANT / BIRTH_DATE
STUDENT IDENTIFIER	AID_PARTICIPANT / PARTICIPANT_ROLE_CAT
	PARTICIPANT / PARTICIPANT_ID
STUDENT NAME, FIRST	PARTICIPANT_NAME / FIRST_NAME
STUDENT NAME, LAST	PARTICIPANT_NAME / LAST_NAME
STUDENT NAME, MIDDLE	PARTICIPANT_NAME / MIDDLE_NAME
STUDENT SOCIAL SECURITY NUMBER	SOCIAL_SECURITY_NUMBER / SSN_NMBR

Flow Name: Loan Terms, Disbursements, Adjustments, Cancellations
Definition: Loan Terms, Disbursement amounts, dates, conditions, adjustments and cancellations due to changes in enrollment eligibility.

To Lender From EASI/ED

Data Elements	Corresponding LDM Attribute(s)
AID INFORMATION	
AID CERTIFIED AMOUNT	
	AID / AID_CERT_AMT
LOAN INFORMATION	
AID APPROVED AMOUNT	
	AID / AID_APRV_AMT
AID IDENTIFIER	
	AID / AID_ID
DISBURSEMENT AID PERIOD	
	AID / AID_PERIOD_START_DATE
	AID / AID_PERIOD_STOP_DATE
DISBURSEMENT ANTICIPATED DATE	
	AID_PRE_DISBURSEMENT / RECMD_DISB_DATE
DISBURSEMENT ANTICIPATED GUARANTOR FEE	
	AID_PRE_DISBURSEMENT / RECMD_FEE_AMT
DISBURSEMENT ANTICIPATED LOAN FEE	
	AID_PRE_DISBURSEMENT / RECMD_FEE_AMT
DISBURSEMENT ANTICIPATED NET AMOUNT	
	AID_PRE_DISBURSEMENT / RECMD_DISB_AMT
DISBURSEMENT DATE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_DATE
DISBURSEMENT GUARANTOR FEE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT

	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
DISBURSEMENT NET AMOUNT	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
DISBURSEMENT NUMBER	
	AID_ACCOUNT_TRANSACTION / TRXN_ID

SCHOOL INFORMATION

ACADEMIC CALENDAR YEAR

SCHOOL_STATISTICAL_DATA / ACAD_CALENDAR_YR_START_DATE

SCHOOL_STATISTICAL_DATA / ACAD_CALENDAR_YR_STOP_DATE

SCHOOL DISBURSEMENT AMOUNT OVERRIDE INDICATOR

AID_PRE_DISBURSEMENT / AMOUNT_OVERRIDE_IND

SCHOOL IDENTIFIER

SCHOOL / SCHOOL_ID

Flow Name: Multi-year promissory note Info
Definition: Information related to the content and processing of the multi-year promissory note, including name, disclosures, certification of terms, and any other relevant information.

To Lender From EASI/ED

Data Elements	Corresponding LDM Attribute(s)
CEILING CAP AMOUNT	PROMISSORY_NOTE / NOTE_MAX_AMT
ENDORESER IDENTIFIER	AID_PARTICIPANT / PARTICIPANT_ROLE_CAT
	PARTICIPANT / PARTICIPANT_ID
ENDORSER FIRST NAME	PARTICIPANT_NAME / FIRST_NAME
ENDORSER LAST NAME	PARTICIPANT_NAME / LAST_NAME
ENDORSER MIDDLE NAME	PARTICIPANT_NAME / MIDDLE_NAME
ENDORSER SIGNATURE DATE	PROMISSORY_NOTE_PARTICIPANT / NOTE_SIGNATURE_DATE
ENDORSER SOCIAL SECURITY NUMBER	SOCIAL_SECURITY_NUMBER / SSN_NMBR
PARTICIPANT DRIVER LICENSE NUMBER	AID_APPLICATION_PARTICIPANT / DRIVERS_LIC_NMBR
PARTICIPANT DRIVER LICENSE STATE	PARTICIPANT / DRIVERS_LIC_STATE_NAME
PARTICIPANT FIRST NAME	PARTICIPANT_NAME / FIRST_NAME
PARTICIPANT HOME TELEPHONE #	PARTICIPANT_ADDRESS / PHONE_NMBR
PARTICIPANT ID	AID_PARTICIPANT / PARTICIPANT_ROLE_CAT
	PARTICIPANT / PARTICIPANT_ID

PARTICIPANT LAST NAME	PARTICIPANT_NAME / LAST_NAME
PARTICIPANT MI	PARTICIPANT_NAME / MIDDLE_NAME
PARTICIPANT PERMANENT CITY	PARTICIPANT_ADDRESS / CITY_NAME
	PARTICIPANT_ADDRESS_USAGE / PARTICIPANT_ADDR_USAGE_CAT
PARTICIPANT PERMANENT STATE	PARTICIPANT_ADDRESS / STATE_NAME
	PARTICIPANT_ADDRESS_USAGE / PARTICIPANT_ADDR_USAGE_CAT
	PARTICIPANT_ADDRESS / CNTRY_NAME
PARTICIPANT PERMANENT STREET ADDRESS	PARTICIPANT_ADDRESS / STREET_NAME
	PARTICIPANT_ADDRESS_USAGE / PARTICIPANT_ADDR_USAGE_CAT
PARTICIPANT PERMANENT ZIP CODE	PARTICIPANT_ADDRESS / POSTAL_CODE
	PARTICIPANT_ADDRESS_USAGE / PARTICIPANT_ADDR_USAGE_CAT
PARTICIPANT SIG DATE	PROMISSORY_NOTE_PARTICIPANT / NOTE_SIGNATURE_DATE
REFERENCE CITY	PARTICIPANT_ADDRESS / CITY_NAME
REFERENCE FIRST NAME	PARTICIPANT_NAME / FIRST_NAME
REFERENCE LAST NAME	AID_PARTICIPANT / PARTICIPANT_ROLE_CAT
	PARTICIPANT_NAME / LAST_NAME
REFERENCE MI	PARTICIPANT_NAME / MIDDLE_NAME
REFERENCE PHONE NUMBER	

	AID_PARTICIPANT / PARTICIPANT_ROLE_CAT
	PARTICIPANT_ADDRESS / PHONE_NMBR
REFERENCE RELATIONSHIP	
	PROMISSORY_NOTE_PARTICIPANT / NOTE_REFERENCE_RELATIONSHIP_ NAME
REFERENCE STATE	
	PARTICIPANT_ADDRESS / STATE_NAME
	PARTICIPANT_ADDRESS_USAGE / PARTICIPANT_ADDR_USAGE_CAT
	PARTICIPANT_ADDRESS / CNTRY_NAME
REFERENCE STREET ADDRESS	
	PARTICIPANT_ADDRESS / STREET_NAME
	PARTICIPANT_ADDRESS_USAGE / PARTICIPANT_ADDR_USAGE_CAT
REFERENCE ZIP CODE	
	PARTICIPANT_ADDRESS / POSTAL_CODE
VERSION CODE	
	AID_PROGRAM / VER_NMBR

ADDRESS INFORMATION

PARTICIPANT CURRENT CITY	
	AID_PARTICIPANT / PARTICIPANT_ROLE_CAT
	PARTICIPANT_ADDRESS / CITY_NAME
	PARTICIPANT_ADDRESS_USAGE / PARTICIPANT_ADDR_USAGE_CAT
PARTICIPANT CURRENT STATE	
	AID_PARTICIPANT / PARTICIPANT_ROLE_CAT
	PARTICIPANT_ADDRESS / STATE_NAME
	PARTICIPANT_ADDRESS_USAGE / PARTICIPANT_ADDR_USAGE_CAT

PARTICIPANT_ADDRESS /
CNTRY_NAME

PARTICIPANT CURRENT STREET ADDRESS

AID_PARTICIPANT /
PARTICIPANT_ROLE_CAT

PARTICIPANT_ADDRESS /
STREET_NAME

PARTICIPANT_ADDRESS_USAGE /
PARTICIPANT_ADDR_USAGE_CAT

PARTICIPANT CURRENT ZIP CODE

AID_PARTICIPANT /
PARTICIPANT_ROLE_CAT

PARTICIPANT_ADDRESS /
POSTAL_CODE

PARTICIPANT_ADDRESS_USAGE /
PARTICIPANT_ADDR_USAGE_CAT

PARTICIPANT PERSONAL INFORMATION

PARTICIPANT SSN

SOCIAL_SECURITY_NUMBER /
SSN_NMBR

Flow Name: Origination Record
Definition: All of the data necessary to originate an instance of aid.

To/from Lender from/to EASI/ED

Data Elements	Corresponding LDM Attribute(s)

AID INFORMATION

    AID IDENTIFIER

        AID / AID_ID

    AID LENDER APPROVED AMOUNT

        AID / AID_CERT_AMT

    AID PERIOD

        AID / AID_PERIOD_START_DATE

        AID / AID_PERIOD_STOP_DATE

    AID SCHOOL APPROVED AMOUNT

        AID / AID_APRV_AMT

    AID TYPE

        AID_PROGRAM / AID_PGM_CAT

    AWARD YEAR

        AWARD /
        APPLICABLE_AWARD_YR_NMBR

    DISBURSEMENT ANTICIPATED DATE

        AID_PRE_DISBURSEMENT /
        RECMD_DISB_DATE

    DISBURSEMENT ANTICIPATED NET AMOUNT

        AID_PRE_DISBURSEMENT /
        RECMD_DISB_AMT

    GUARANTOR IDENTIFIER

        AID_ORGANIZATION / ORG_ID

    LENDER IDENTIFIER

        AID_ORGANIZATION / ORG_ID

    ORIGINATION DATE

        AID / ORIGNT_DATE

    PROMISSORY NOTE IDENTIFIER

        PROMISSORY_NOTE / PROM_NOTE_ID

PARTICIPANT INFORMATION

    BORROWER ALIEN REGISTRATION NUMBER

        PARTICIPANT /
        ALIEN_REGISTRATION_NMBR

    BORROWER DATE OF BIRTH

	PARTICIPANT / BIRTH_DATE
BORROWER DRIVER'S LICENSE NUMBER	
	PARTICIPANT / DRIVERS_LIC_NMBR
BORROWER DRIVER'S LICENSE STATE	
	PARTICIPANT / DRIVERS_LIC_STATE_NAME
BORROWER IDENTIFIER	
	AID_PARTICIPANT / PARTICIPANT_ROLE_CAT
	PARTICIPANT / PARTICIPANT_ID
BORROWER LOCAL ADDRESS	
	PARTICIPANT_ADDRESS / CITY_NAME
	PARTICIPANT_ADDRESS / POSTAL_CODE
	PARTICIPANT_ADDRESS / STATE_NAME
	PARTICIPANT_ADDRESS / STREET_NAME
	PARTICIPANT_ADDRESS_USAGE / PARTICIPANT_ADDR_USAGE_CAT
	PARTICIPANT_ADDRESS / CNTRY_NAME
BORROWER NAME	
	PARTICIPANT_NAME / FIRST_NAME
	PARTICIPANT_NAME / LAST_NAME
	PARTICIPANT_NAME / MIDDLE_NAME
BORROWER PERMANENT ADDRESS	
	PARTICIPANT_ADDRESS / CITY_NAME
	PARTICIPANT_ADDRESS / POSTAL_CODE
	PARTICIPANT_ADDRESS / STATE_NAME
	PARTICIPANT_ADDRESS / STREET_NAME
	PARTICIPANT_ADDRESS_USAGE / PARTICIPANT_ADDR_USAGE_CAT
	PARTICIPANT_ADDRESS / CNTRY_NAME

BORROWER SOCIAL SECURITY NUMBER

    SOCIAL_SECURITY_NUMBER /
    SSN_NMBR

BORROWER TELEPHONE NUMBER

    PARTICIPANT_ADDRESS /
    PHONE_NMBR

STUDENT ALIEN REGISTRATION NUMBER

    PARTICIPANT /
    ALIEN_REGISTRATION_NMBR

STUDENT ANTICIPATED GRADUATION DATE

    SCHOOL_ENROLLMENT /
    DEGREE_DATE

STUDENT COLLEGE GRADE LEVEL

    SCHOOL_ENROLLMENT /
    SCHOOL_GRADE_LEVEL_NAME

STUDENT DATE OF BIRTH

    PARTICIPANT / BIRTH_DATE

STUDENT IDENTIFIER

    PARTICIPANT / PARTICIPANT_ID

STUDENT NAME

    PARTICIPANT_NAME / FIRST_NAME

    PARTICIPANT_NAME / LAST_NAME

    PARTICIPANT_NAME / MIDDLE_NAME

STUDENT SOCIAL SECURITY NUMBER

    SOCIAL_SECURITY_NUMBER /
    SSN_NMBR

PELL INFORMATION

ACADEMIC CALENDAR

    SCHOOL_EDUCATION_PROGRAM /
    EDU_PGM_UNIT_CAT

CALCULATION ENROLLMENT PERCENTAGE

    AID_PRE_DISBURSEMENT /
    PMT_PERIOD_ENRL_STAT_CAT

COST OF ATTENDANCE

    AID_PRE_DISBURSEMENT /
    PMT_PERIOD_ATTENDANCE_COST
    _AMT

CR/CLOCK HOURS IN ALL PAYMENT PERIODS
EXPECTED TO COMPLETE THIS SCHOOL YEAR

    AID_PRE_DISBURSEMENT /

TOTAL_PMT_PERIOD_HOURS_QTY

CR/CLOCK HOURS IN PROGRAM'S DEFINITION OF
ACADEMIC YEAR

AID_PRE_DISBURSEMENT /
EDU_PGM_UNIT_QTY

INCARCERATED FEDERAL PELL RECIPIENT CODE

PARTICIPANT /
INCARCERATION_CAT

LOW TUITION AND FEES AMOUNT

AID_PRE_DISBURSEMENT /
PMT_PERIOD_ATTENDANCE_COST
_AMT

NO. OF PAYMENT PERIODS IN STUDENT'S SCHOOL
 YEAR

AID_PRE_DISBURSEMENT /
PMT_PERIOD_QTY

PAYMENT METHODOLOGY

AID_PRE_DISBURSEMENT /
PMT_METHODOLOGY_CAT

TRANSACTION NUMBER

AID_APPLICATION_SCHOOL /
ISIR_NMBR

VERIFICATION STATUS CODE

AID_APPLICATION_OVERRIDE /
OVERRIDE_CANCEL_IND

WEEK OF INSTRUCTIONAL TIME USED TO CALCULATE PAYMENT

AID_PRE_DISBURSEMENT /
PMT_INSTRUCTIONAL_PERIOD_QTY

WEEKS OF INSTRUCTIONAL TIME IN PROGRAM'S
DEFINITION OF ACADEMIC YEAR

AID_PRE_DISBURSEMENT /
TOTAL_ACAD_YR_PERIOD_QTY

SCHOOL INFORMATION

ACADEMIC CALENDAR YEAR

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_START_DATE

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_STOP_DATE

SCHOOL DISBURSEMENT AMOUNT OVERRIDE
INDICATOR

AID_PRE_DISBURSEMENT /
AMOUNT_OVERRIDE_IND

SCHOOL IDENTIFIER

SCHOOL / SCHOOL_ID

Flow Name: Disbursement Adjustment Record
Definition: Updates the disbursement record due to cancellation or refund.

From Participant To EASI/ED

<u>Data Elements</u>	<u>Corresponding LDM Attribute(s)</u>
AID INFORMATION	
AID IDENTIFIER	
	AID / AID_ID
DISBURSEMENT ADJUSTMENT AMOUNT	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
DISBURSEMENT AMOUNT	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
DISBURSEMENT DATE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_DATE

Flow Name: Participant Information
Definition: Cosigner and/or Endorser information gathered for an origination record.

From Participant To EASI/ED

<u>Data Elements</u>	<u>Corresponding LDM Attribute(s)</u>
AID IDENTIFIER	
	AID / AID_ID
PARTICIPANT ADDRESS	
	PARTICIPANT_ADDRESS / CITY_NAME
	PARTICIPANT_ADDRESS / PHONE_NMBR
	PARTICIPANT_ADDRESS / POSTAL_CODE
	PARTICIPANT_ADDRESS / STATE_NAME
	PARTICIPANT_ADDRESS / STREET_NAME
	PARTICIPANT_ADDRESS_USAGE / PARTICIPANT_ADDR_USAGE_CAT
	PARTICIPANT_ADDRESS / CNTRY_NAME
PARTICIPANT ALIEN REGISTRATION	
	PARTICIPANT / ALIEN_REGISTRATION_NMBR
PARTICIPANT CATEGORY	
	AID_PARTICIPANT / PARTICIPANT_ROLE_CAT
PARTICIPANT DATE OF BIRTH	
	PARTICIPANT / BIRTH_DATE
PARTICIPANT DRIVER LICENSE INFO	
	PARTICIPANT / DRIVERS_LIC_NMBR
	PARTICIPANT / DRIVERS_LIC_STATE_NAME
PARTICIPANT IDENTIFIER	
	PARTICIPANT / PARTICIPANT_ID

PARTICIPANT NAME

PARTICIPANT_NAME / FIRST_NAME

PARTICIPANT_NAME / LAST_NAME

PARTICIPANT_NAME / MIDDLE_NAME

PARTICIPANT SOCIAL SECURITY NUMBER

SOCIAL_SECURITY_NUMBER /
SSN_NMBR

Flow Name: Request Participant Information
Definition: Request Cosigner and/or Endorser Information

To Participant From EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
AID IDENTIFIER	
	AID / AID_ID
PARTICIPANT CATEGORY	
	AID_PARTICIPANT /
PARTICIPANT_ROLE_CAT	
PARTICIPANT IDENTIFIER	
	PARTICIPANT / PARTICIPANT_ID

Flow Name: Disbursement Adjustment Record
Definition: Updates the disbursement record due to cancellation or refund.

To/from School from/to EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
AID INFORMATION	
AID IDENTIFIER	
	AID / AID_ID
DISBURSEMENT ADJUSTMENT AMOUNT	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
DISBURSEMENT AMOUNT	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
DISBURSEMENT DATE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_DATE

Flow Name: Disbursement Drawdown Request
Definition: The request for funds, by a school, to satisfy upcoming aid disbursements.

From School To EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
LOAN SCHEDULE INFORMATION	
AID IDENTIFIER	
	AID / AID_ID
ANTICIPATED DISBURSEMENT AMOUNT	
	AID_PRE_DISBURSEMENT / RECMD_DISB_AMT
ANTICIPATED DISBURSEMENT DATE	
	AID_PRE_DISBURSEMENT / RECMD_DISB_DATE
DISBURSEMENT DESTINATION	
	PARTICIPANT / PARTICIPANT_ID
	SCHOOL / SCHOOL_ID

Flow Name: Disbursement Records

Definition: Dates and amounts of the aid disbursements and to whom the funds will be disbursed.

From School To EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
DISBURSEMENT ACCEPT INDICATOR	
	AID_PRE_DISBURSEMENT / PARTICIPANT_ACCEPT_IND
DISBURSEMENT USAGE CATEGORY	
	AID_ACCOUNT_TRANSACTION / DISB_USAGE_CAT
GROSS DISBURSEMENT AMOUNT	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
LOAN SCHEDULE INFORMATION	
AID IDENTIFIER	
	AID / AID_ID
DISBURSEMENT DATE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_DATE
DISBURSEMENT RECIPIENT	
	PARTICIPANT / PARTICIPANT_ID
	SCHOOL / SCHOOL_ID
NET DISBURSEMENT AMOUNT	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT

Flow Name: Edit Result
Definition: Acceptance or rejection of a school's submission of disbursement record(s).

To/from School from/to EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
AID IDENTIFIER	
	AID / AID_ID
AID STATUS	
	AID_STATUS / AID_STAT_CAT

Flow Name: Origination record
Definition: All of the data necessary to originate an instance of aid.

To/from School from/to EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**

AID INFORMATION

    AID IDENTIFIER

        AID / AID_ID

    AID LENDER APPROVED AMOUNT

        AID / AID_CERT_AMT

    AID PERIOD

        AID / AID_PERIOD_START_DATE

        AID / AID_PERIOD_STOP_DATE

    AID SCHOOL APPROVED AMOUNT

        AID / AID_APRV_AMT

    AID TYPE

        AID_PROGRAM / PGM_ID

    AWARD YEAR

        AWARD /
        APPLICABLE_AWARD_YR_NMBR

    DISBURSEMENT ANTICIPATED DATE

        AID_PRE_DISBURSEMENT /
        RECMD_DISB_DATE

    DISBURSEMENT ANTICIPATED NET AMOUNT

        AID_PRE_DISBURSEMENT /
        RECMD_DISB_AMT

    GUARANTOR IDENTIFIER

        AID_ORGANIZATION / ORG_ID

    INTEREST CAPITALIZATION

        REPAYMENT_INTEREST /
        CAPITALIZATION_IND

    LENDER IDENTIFIER

        AID_ORGANIZATION / ORG_ID

    ORIGINATION DATE

        AID / ORIGNT_DATE

    PROMISSORY NOTE IDENTIFIER

        PROMISSORY_NOTE / PROM_NOTE_ID

PARTICIPANT INFORMATION

    BORROWER ALIEN REGISTRATION NUMBER

	PARTICIPANT / ALIEN_REGISTRATION_NMBR
BORROWER DATE OF BIRTH	
	PARTICIPANT / BIRTH_DATE
BORROWER DRIVER'S LICENSE NUMBER	
	PARTICIPANT / DRIVERS_LIC_NMBR
BORROWER DRIVER'S LICENSE STATE	
	PARTICIPANT / DRIVERS_LIC_STATE_NAME
BORROWER IDENTIFIER	
	AID_PARTICIPANT / PARTICIPANT_ROLE_CAT
	PARTICIPANT / PARTICIPANT_ID
BORROWER LOCAL ADDRESS	
	PARTICIPANT_ADDRESS / CITY_NAME
	PARTICIPANT_ADDRESS / POSTAL_CODE
	PARTICIPANT_ADDRESS / STATE_NAME
	PARTICIPANT_ADDRESS / STREET_NAME
	PARTICIPANT_ADDRESS_USAGE / PARTICIPANT_ADDR_USAGE_CAT
	PARTICIPANT_ADDRESS / CNTRY_NAME
BORROWER NAME, FIRST	
	PARTICIPANT_NAME / FIRST_NAME
BORROWER NAME, LAST	
	PARTICIPANT_NAME / LAST_NAME
BORROWER NAME, MIDDLE	
	PARTICIPANT_NAME / MIDDLE_NAME
BORROWER PERMANENT ADDRESS	
	PARTICIPANT_ADDRESS / CITY_NAME
	PARTICIPANT_ADDRESS / POSTAL_CODE
	PARTICIPANT_ADDRESS / STATE_NAME
	PARTICIPANT_ADDRESS / STREET_NAME

	PARTICIPANT_ADDRESS_USAGE / PARTICIPANT_ADDR_USAGE_CAT
	PARTICIPANT_ADDRESS / CNTRY_NAME
BORROWER SOCIAL SECURITY NUMBER	
	SOCIAL_SECURITY_NUMBER / SSN_NMBR
BORROWER TELEPHONE NUMBER	
	PARTICIPANT_ADDRESS / PHONE_NMBR
ENDORSER IDENTIFIER	
	AID_PARTICIPANT / PARTICIPANT_ROLE_CAT
	PROMISSORY_NOTE_PARTICIPANT / NOTE_ROLE_CAT
	PARTICIPANT / PARTICIPANT_ID
STUDENT ALIEN REGISTRATION NUMBER	
	PARTICIPANT / ALIEN_REGISTRATION_NMBR
STUDENT ANTICIPATED GRADUATION DATE	
	SCHOOL_ENROLLMENT / DEGREE_DATE
STUDENT COLLEGE GRADE LEVEL	
	SCHOOL_ENROLLMENT / SCHOOL_GRADE_LEVEL_NAME
STUDENT DATE OF BIRTH	
	PARTICIPANT / BIRTH_DATE
STUDENT IDENTIFIER	
	PARTICIPANT / PARTICIPANT_ID
STUDENT NAME, FIRST	
	PARTICIPANT_NAME / FIRST_NAME
STUDENT NAME, LAST	
	PARTICIPANT_NAME / LAST_NAME
STUDENT NAME, MIDDLE	
	PARTICIPANT_NAME / MIDDLE_NAME
STUDENT SOCIAL SECURITY NUMBER	
	SOCIAL_SECURITY_NUMBER / SSN_NMBR

PELL INFORMATION

ACADEMIC CALENDAR

SCHOOL EDUCATION_PROGRAM /
EDU_PGM_UNIT_CAT

CALCULATION ENROLLMENT PERCENTAGE

AID_PRE_DISBURSEMENT /
PMT_PERIOD_ENRL_STAT_CAT

COST OF ATTENDANCE

AID_PRE_DISBURSEMENT / PMT_
PERIOD_ATTENDANCE_COST_AMT

CR/CLOCK HOURS IN ALL PAYMENT PERIODS
EXPECTED TO COMPLETE THIS SCHOOL YEAR.

AID_PRE_DISBURSEMENT /
TOTAL_PMT_PERIOD_HOURS_QTY

CR/CLOCK HOURS IN PROGRAM'S DEFINITION OF ACADEMIC YEAR.

AID_PRE_DISBURSEMENT /
EDU_PGM_UNIT_QTY

INCARCERATED FEDERAL PELL RECIPIENT CODE

PARTICIPANT /
INCARCERATION_CAT

LOW TUITION AND FEES AMOUNT

AID_PRE_DISBURSEMENT /
PMT_PERIOD_ATTENDANCE_COST
_AMT

NO. OF PAYMENT PERIODS IN STUDENT'S SCHOOL YEAR

AID_PRE_DISBURSEMENT /
PMT_PERIOD_QTY

PAYMENT METHODOLOGY

AID_PRE_DISBURSEMENT /
PMT_METHODOLOGY_CAT

TRANSACTION NUMBER

AID_APPLICATION_SCHOOL /
ISIR_NMBR

VERIFICATION STATUS CODE

AID_APPLICATION_OVERRIDE /
OVERRIDE_CANCEL_IND

WEEK OF INSTRUCTIONAL TIME USED TO CALCULATE PAYMENT

AID_PRE_DISBURSEMENT /
PMT_INSTRUCTIONAL_PERIOD_QTY

WEEKS OF INSTRUCTIONAL TIME IN PROGRAM'S
DEFINITION OF ACADEMIC YEAR

AID_PRE_DISBURSEMENT /

SCHOOL INFORMATION

TOTAL_ACAD_YR_PERIOD_QTY

ACADEMIC CALENDAR YEAR

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_START_DATE

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_STOP_DATE

SCHOOL DISBURSEMENT AMOUNT OVERRIDE INDICATOR

AID_PRE_DISBURSEMENT /
AMOUNT_OVERRIDE_IND

SCHOOL IDENTIFIER

SCHOOL / SCHOOL_ID

Flow Name: Special Pell Disbursement Record
Definition: Dates and amounts of the aid disbursements and to whom the funds will be disbursed.

From School To EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**

ACADEMIC CALENDAR FOR THIS PAYMENT PERIOD

SCHOOL EDUCATION_PROGRAM /
EDU_PGM_UNIT_CAT

AID IDENTIFIER

AID / AID_ID

AWARD AMOUNT FOR THIS PAYMENT PERIOD

AID_PRE_DISBURSEMENT /
RECMD_DISB_AMT

CALCULATED ENROLLMENT STATUS FOR PAY PERIOD

AID_PRE_DISBURSEMENT /
PMT_PERIOD_ENRL_STAT_CAT

COST OF ATTENDANCE FOR THIS PAYMENT PERIOD

AID_PRE_DISBURSEMENT /
PMT_PERIOD_ATTENDANCE_COST
_AMT

CR/CLOCK HRS IN PROGRAM'S DEFINITION OF ACADEMIC YEAR

AID_PRE_DISBURSEMENT /
TOTAL_PMT_PERIOD_HOURS_QTY

CR/CLOCK HRS EXPECTED TO COMP IN PAYMENT PERIOD.

AID_PRE_DISBURSEMENT /
PMT_PERIOD_EXPECTED_HOURS
_NMBR

DISBURSEMENT AMOUNT

AID_ACCOUNT_TRANSACTION /
AID_TRXN_AMT

AID_ACCOUNT_TRANSACTION /
AID_TRXN_CAT

DISBURSEMENT DATE

AID_ACCOUNT_TRANSACTION /
AID_TRXN_DATE

NO. OF PAYMENT PERIODS IN STUDENT'S SCHOOL
 YEAR

AID_PRE_DISBURSEMENT /
PMT_PERIOD_QTY

PAYMENT METHODOLOGY FOR THE PAYMENT PERIOD

AID_PRE_DISBURSEMENT /
PMT_METHODOLOGY_CAT

PAYMENT PERIOD END DATE

AID_PRE_DISBURSEMENT /
PMT_PERIOD_STOP_DATE

PAYMENT PERIOD START DATE

AID_PRE_DISBURSEMENT /
PMT_PERIOD_START_DATE

WEEKS OF INSTRUCTIONAL TIME IN PROGRAMS
DEFINITION OF ACADEMIC YEAR

AID_PRE_DISBURSEMENT /
TOTAL_ACAD_YR_PERIOD_QTY

WEEKS OF INSTRUCTIONAL TIME USED TO
CALCULATE PAYMENT FOR THIS PAYMENT PERIOD

AID_PRE_DISBURSEMENT /
PMT_INSTRUCTIONAL_PERIOD_QTY

Flow Name: Duplicate Disbursement Notification

Definition: Notification to the participant that more than one disbursement record was sent from different schools for the same academic period.

To Participant From EASI/ED

<u>Data Elements</u>	<u>Logical Data Model Mapping</u>
DISBURSEMENT #1 AMOUNT	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
DISBURSEMENT #1 DATE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_DATE
DISBURSEMENT #2 AMOUNT	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
DISBURSEMENT #2 DATE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_DATE
DISBURSEMENT #3 AMOUNT	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
DISBURSEMENT #3 DATE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_DATE
DISBURSEMENT #4 AMOUNT	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
DISBURSEMENT #4 DATE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_DATE
PARTICIPANT ID	
	PARTICIPANT / PARTICIPANT_ID

PARTICIPANT NAME

PARTICIPANT_NAME / FIRST_NAME
PARTICIPANT_NAME / LAST_NAME

SCHOOL #1 ID

SCHOOL / SCHOOL_ID

SCHOOL #2 ID

SCHOOL / SCHOOL_ID

SCHOOL #3 ID

SCHOOL / SCHOOL_ID

SCHOOL #4 ID

SCHOOL / SCHOOL_ID

The following diagram presents the flows of information exchanged between the Confirm Administrative Expense Allowance Reinsurance Claim process and the postsecondary education community.
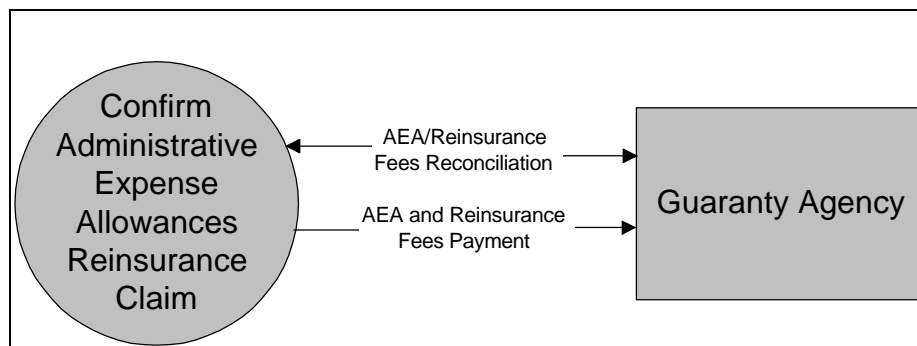
Exhibit 3.2.3-4 Confirm Administrative Expense Allowances Reinsurance Claim

Flow Name: AEA and Reinsurance Fees Payment
Definition: Payment amount of administrative expense allowances on defaulted loans assigned to guaranty agencies by lenders.

To Guaranty Agency From EASI/ED

Data Elements	Corresponding LDM Attribute(s)
BILLING INFORMATION	
ADDITIONAL UNPAID PRINCIPAL	Derived
AMOUNT OF CLAIMS	Derived
CLAIM PERIOD	Derived
GROSS AMOUNT	Derived
INTEREST ACCRUED	Derived
NUMBER OF CLAIMS	Derived
OTHER CHARGES	Derived
PRINCIPAL, PURCHASED INTEREST	Derived
REFUND NET OF ANY COMPLEMENT	Derived
REINSURANCE REQUEST TYPE	Derived
UNPAID INTEREST AFTER DATE OF REINSURANCE PAYMENT	Derived
GUARANTY AGENCY INFORMATION	
GUARANTY AGENCY CODE	AID_ORGANIZATION / ORG_ID
LOAN INFORMATION	
LOAN TYPE CODE	AID_PROGRAM / PGM_ID

Flow Name: AEA/Reinsurance Fee Reconciliation

Definition: Approval or rejection based on an examination of the claim, after a ED submits a payment for an administrative expense allowance (AEA) or reinsurance claim to a guaranty agency.

To/from Guaranty Agency from/to EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
BILLING INFORMATION	
ADDITIONAL UNPAID INTEREST	Derived
AMOUNT OF CLAIM	Derived
DATE OF CLAIM	AID_ACCOUNT_TRANSACTION / AID_TRXN_DATE
GROSS AMOUNT	Derived
INTEREST ACCRUED	Derived
OTHER CHARGES	Derived
PRINCIPAL, PURCHASED INTEREST	Derived
REINSURANCE REQUEST TYPE	Derived
UNPAID INTEREST AFTER DATE OF REINSURANCE PAYMENT	Derived
GUARANTY AGENCY INFORMATION	
GUARANTY AGENCY IDENTIFIER	AID_ORGANIZATION / ORG_ID
LOAN INFORMATION	
AID IDENTIFIER	AID / AID_ID
AID TYPE CODE	AID_PROGRAM / PGM_ID

The following diagram presents the flows of information exchanged between the Maintain Authorization Fund process and the postsecondary education community.
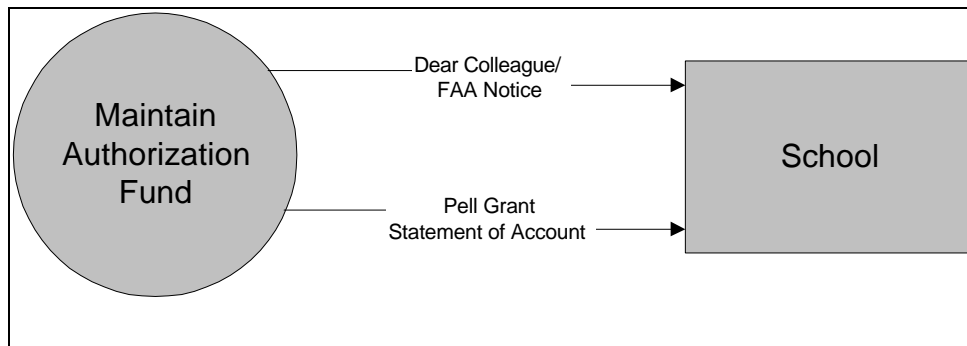
Exhibit 3.2.3-5 Maintain Authorization Fund

Flow Name: Dear Colleague/FAA Notice
Definition: Notices sent to a school informing it of Pell Grant related information. Dear Colleague Notices are related to policy and regulations. These are not system generated. Dear FAA notices are for institution-specific reasons, such as non-receipt of payment date, and are system generated.

To School From EASI/ED

Data Elements	Corresponding LDM Attribute(s)
NOTICE INFORMATION	
NOTICE DATE	
	Rejected
NOTICE TYPE	
	Rejected
RECIPIENT	
	SCHOOL_POC / FIRST_NAME
	SCHOOL_POC / LAST_NAME
	SCHOOL_POC / MIDDLE_NAME
	SCHOOL_POC / SCHOOL_POC_CAT
PROGRAM INFORMATION	
NOTICE REASON	
	Rejected
PROGRAM TYPE	
	AID_PROGRAM / AID_PGM_CAT
	AID_PROGRAM / PGM_ID
SCHOOL IDENTIFIER	
	SCHOOL / SCHOOL_ID

Flow Name: Pell Grant Statement of Account

Definition: Statement of account representing Pell Grant transaction history and account summaries for a given school.

To School From EASI/ED

__Data Elements__	__Corresponding LDM Attribute(s)__
CAMPUS BASE PROGRAM INFORMATION	
AWARD YEAR	
	AWARD / APPLICABLE_AWARD_YR_NMBR
PELL GRANT INFORMATION	
DATE OF AUTHORIZATION	
	AWARD / DRAWDOWN_START_DATE
PELL GRANT ADJUSTMENT AMOUNT	
	AWARD / AWARD_AMT
	AWARD / AWARD_CAT
PELL PROCESS DATE	
	AWARD / AWARD_CALC_DATE
PREVIOUS AUTHORIZATION AMOUNT	
	DERIVED
SCHOOL INFORMATION	
SCHOOL IDENTIFIER	
	SCHOOL / SCHOOL_ID
SCHOOL REPORT DATE	
	AID_PROGRAM_APPROVAL / APRV_START_DATE

The following diagram presents the flows of information exchanged between the Maintain Enrollment Status process and the postsecondary education community.
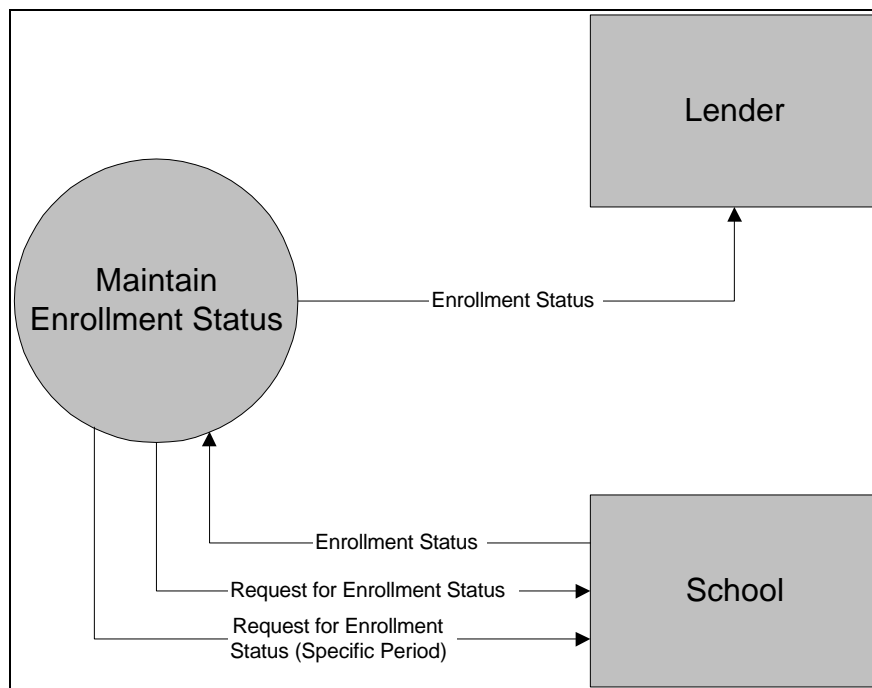

Exhibit 3.2.3-6 Maintain Enrollment Status

Flow Name: Enrollment Status

Definition: Enrollment status is whether the student is registered at the particular school for the required number of hours or activity participation.

To Lender From EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
LENDER INFORMATION	
LENDER IDENTIFIER	
	AID_ORGANIZATION / ORG_ID
PARTICIPANT INFORMATION	
PARTICIPANT IDENTIFIER	
	PARTICIPANT / PARTICIPANT_ID
STUDENT ENROLLMENT PERCENTAGE	
	SCHOOL_ENROLLMENT / ENRL_PCT
STUDENT ENROLLMENT STATUS	
	SCHOOL_ENROLLMENT / ENRL_STAT_CAT
SCHOOL INFORMATION	
SCHOOL IDENTIFIER	
	SCHOOL / SCHOOL_ID

Flow Name: Enrollment Status
Definition: Enrollment status is whether the student is registered at the particular school for the required number of hours or activity participation.

From School To EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
PARTICIPANT INFORMATION	
PARTICIPANT IDENTIFIER	
	PARTICIPANT / PARTICIPANT_ID
SCHOOL INFORMATION	
ANTICIPATED GRADUATION DATE	
	SCHOOL_ENROLLMENT / ANTICIPATED_COMPL_DATE
ENROLLMENT STATUS	
	SCHOOL_ENROLLMENT / ENRL_STAT_CAT
ENROLLMENT STATUS EFFECTIVE DATE	
	SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE
	SCHOOL_ENROLLMENT / ENRL_STAT_STOP_DATE
PERCENTAGE ENROLLED NON TITLE IV PROGRAMS	
	SCHOOL_ENROLLMENT / NON_TITLE_IV_PGM_ENRL_PCT
SCHOOL IDENTIFIER	
	SCHOOL / SCHOOL_ID
STUDENT ENROLLMENT PERCENTAGE	
	SCHOOL_ENROLLMENT / ENRL_PCT

Flow Name: Request for Enrollment Status
Definition: EASI/ED prompts schools periodically for the student's registration and enrollment status (e.g. credit hours, activity hours, etc.). The answer back is called enrollment status.

To School From EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
ENROLLMENT STATUS REQUEST TYPE	
	Rejected
PARTICIPANT IDENTIFIER	
	PARTICIPANT / PARTICIPANT_ID
RESPONSE DATE	
	Derived
SCHOOL INFORMATION	
SCHOOL IDENTIFIER	
	SCHOOL / SCHOOL_ID

Flow Name: Request for Enrollment Status (For a Specific Period)
Definition: EASI/ED prompts schools for the student's registration and enrollment status.

To School From EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
PARTICIPANT IDENTIFER	
	PARTICIPANT / PARTICIPANT_ID
PERIOD BEGIN DATE	
	SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE
PERIOD END DATE	
	SCHOOL_ENROLLMENT / ENRL_STAT_STOP_DATE
RESPONSE DATE	
	Derived
SCHOOL INFORMATION	
SCHOOL IDENTIFIER	
	SCHOOL / SCHOOL_ID

The following diagram presents the flows of information exchanged between the Manage Lender Disbursement Information process and the postsecondary education community.
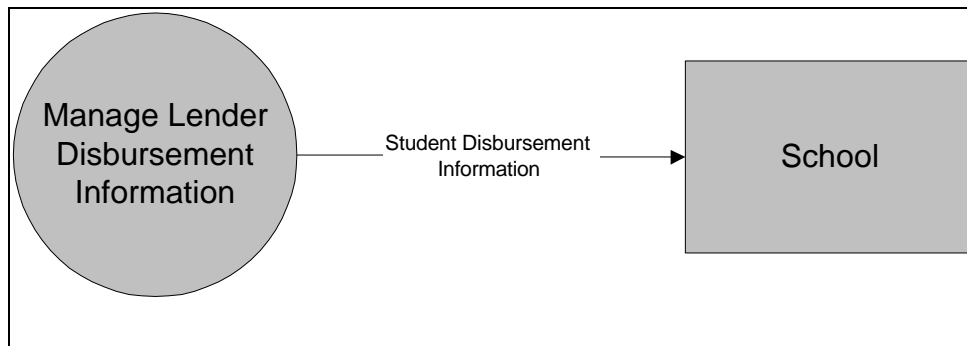
Exhibit 3.2.3-7 Manage Lender Disbursement Information

Flow Name: Student Disbursement Information
Definition: Student disbursement information contains the disbursement information from all authorized disbursements. These include authorizations from within EASI/ED and also from fund sources outside of EASI/ED.

To School From EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
AID IDENTIFIER	
	AID / AID_ID
ANTICIPATE DISBURSEMENT DATE	
	AID_PRE_DISBURSEMENT / RECMD_DISB_DATE
AUTHORIZED DISBURSEMENT AMOUNT	
	AID_PRE_DISBURSEMENT / RECMD_DISB_AMT
AUTHORIZED DISBURSEMENT RECIPIENT	
	PARTICIPANT / PARTICIPANT_ID
	SCHOOL / SCHOOL_ID

The following diagram presents the flows of information exchanged between the Manage Perkins Loan Cancellation process and the postsecondary education community.
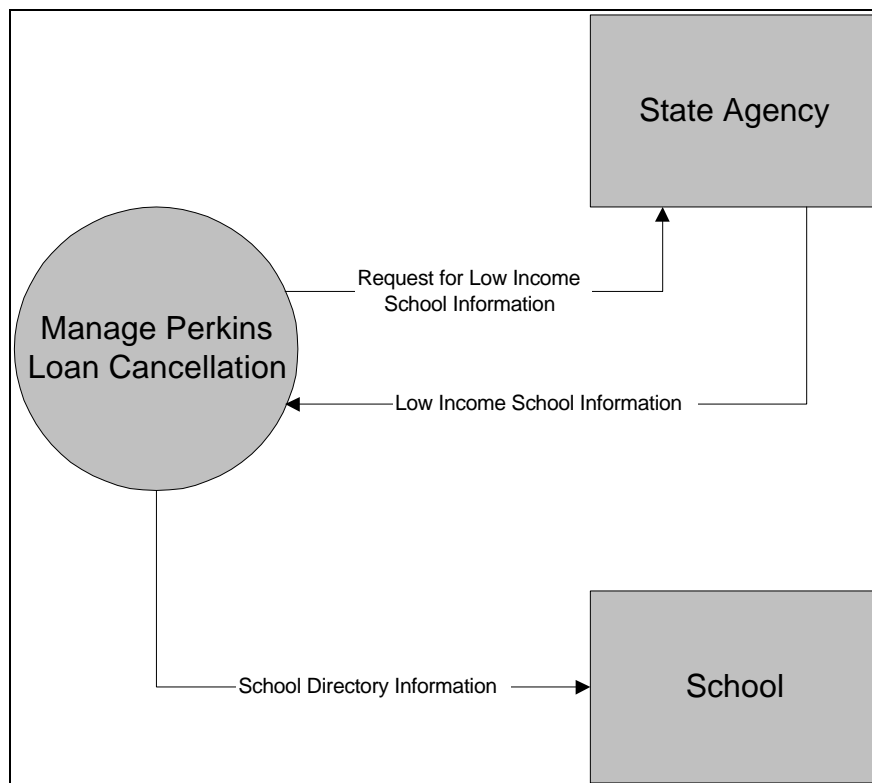

Exhibit 3.2.3-8 Manage Perkins Loan Cancellation

Flow Name: School Directory Information
Definition: Information required to build and update the low income school directory.

To School From EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
SCHOOL INFORMATION	
GRADE LEVEL	
	LOW_INCOME_RANK / GRADE_LEVEL_CAT
LOCATION	
	LOW_INCOME_RANK / COUNTY_NAME
	LOW_INCOME_RANK / SCHOOL_DISTRICT_NAME
SCHOOL NAME	
	LOW_INCOME_RANK / SCHOOL_NAME
STATE	
	LOW_INCOME_RANK / STATE_NAME

Flow Name: Low Income School Information
Definition: Submission of low income school list from state agencies.

From State Agency To EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**

SCHOOL INFORMATION

    STATE SCHOOL ADDRESS

        LOW_INCOME_RANK / CITY_NAME

        LOW_INCOME_RANK / POSTAL_CODE

        LOW_INCOME_RANK / STATE_NAME

        LOW_INCOME_RANK / STREET_NAME

    STATE SCHOOL CONTACT

        LOW_INCOME_RANK / POC_NAME

    STATE SCHOOL GRADE LEVEL

        LOW_INCOME_RANK /
        GRADE_LEVEL_CAT

    STATE SCHOOL JURISDICTION

        LOW_INCOME_RANK /
        SCHOOL_DISTRICT_NAME

    STATE SCHOOL NAME

        LOW_INCOME_RANK / SCHOOL_NAME

    STATE SCHOOL RANK

        LOW_INCOME_RANK /
        LOW_INCOME_RANK_NMBR

        LOW_INCOME_RANK /
        LOW_INCOME_RANK_YR_NMBR

Flow Name: Request for Low Income School Information
Definition: Request for low income school information from a state agencies.

To State Agency From EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
LIST INFORMATION	
DATE LIST REQUIRED	
	Rejected
LOW INCOME LIST INSTRUCTIONS	
	Rejected
STATE AGENCY INFORMATION	
STATE AGENCY IDENTIFIER	
	AID_ORGANIZATION / ORG_ID

The following diagram presents the flows of information exchanged between the Originate Consolidated Direct Loan process and the postsecondary education community.
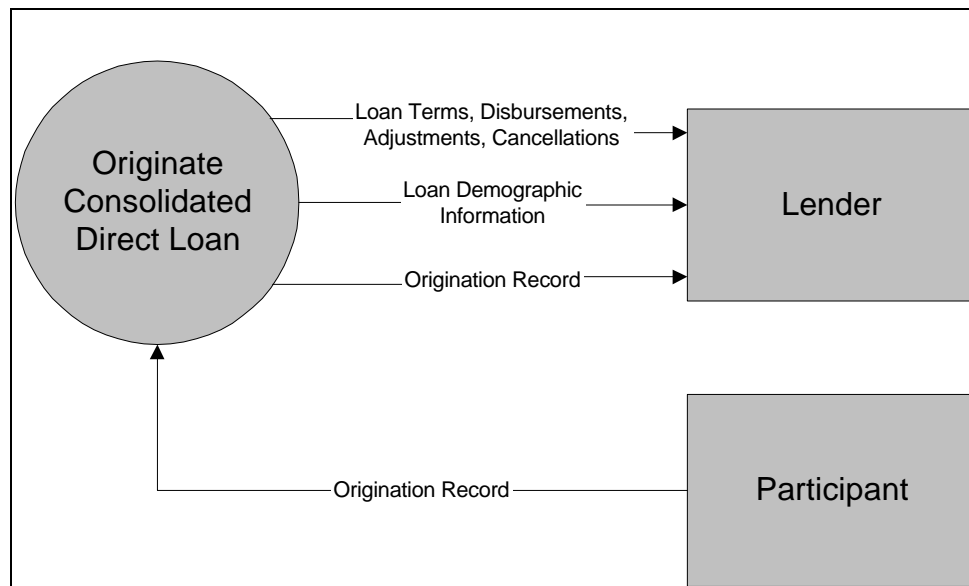
Exhibit 3.2.3-9 Originate Consolidated Direct Loan

Flow Name: Loan Demographic Information
Definition: Loan demographic information, adjustments, cancellations due to changes in enrollment and eligibility.

To Lender From EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**

LOAN INFORMATION

    COST OF ORIGINATION

        AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT

        AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT

    INTEREST CAPITALIZATION

        REPAYMENT_INTEREST / CAPITALIZATION_IND

    ORIGINATION DATE

        AID / ORIGNT_DATE

PARTICIPANT INFORMATION

    BORROWER ALIEN REGISTRATION NUMBER

        AID_PARTICIPANT / PARTICIPANT_ROLE_CAT

        PARTICIPANT / ALIEN_REGISTRATION_NMBR

    BORROWER DATE OF BIRTH

        AID_PARTICIPANT / PARTICIPANT_ROLE_CAT

        PARTICIPANT / BIRTH_DATE

    BORROWER DRIVER'S LICENSE NUMBER

        AID_PARTICIPANT / PARTICIPANT_ROLE_CAT

        PARTICIPANT / DRIVERS_LIC_NMBR

    BORROWER DRIVER'S LICENSE STATE

        AID_PARTICIPANT / PARTICIPANT_ROLE_CAT

        PARTICIPANT / DRIVERS_LIC_STATE_NAME

    BORROWER IDENTIFIER

        AID_PARTICIPANT / PARTICIPANT_ROLE_CAT

        PARTICIPANT / PARTICIPANT_ID

BORROWER LOCAL ADDRESS

PARTICIPANT_ADDRESS / CITY_NAME

PARTICIPANT_ADDRESS /
POSTAL_CODE

PARTICIPANT_ADDRESS /
STATE_NAME

PARTICIPANT_ADDRESS /
STREET_NAME

PARTICIPANT_ADDRESS_USAGE /
PARTICIPANT_ADDR_USAGE_CAT

PARTICIPANT_ADDRESS /
CNTRY_NAME

BORROWER NAME, FIRST

AID_PARTICIPANT /
PARTICIPANT_ROLE_CAT

PARTICIPANT_NAME / FIRST_NAME

BORROWER NAME, LAST

AID_PARTICIPANT /
PARTICIPANT_ROLE_CAT

PARTICIPANT_NAME / LAST_NAME

BORROWER NAME, MIDDLE

AID_PARTICIPANT /
PARTICIPANT_ROLE_CAT

PARTICIPANT_NAME / MIDDLE_NAME

BORROWER PERMANENT ADDRESS

PARTICIPANT_ADDRESS / CITY_NAME

PARTICIPANT_ADDRESS /
POSTAL_CODE

PARTICIPANT_ADDRESS /
STATE_NAME

PARTICIPANT_ADDRESS /
STREET_NAME

PARTICIPANT_ADDRESS_USAGE /
PARTICIPANT_ADDR_USAGE_CAT

PARTICIPANT_ADDRESS /
CNTRY_NAME

BORROWER SIGNATURE DATE

PROMISSORY_NOTE_PARTICIPANT /

NOTE_SIGNATURE_DATE

BORROWER SOCIAL SECURITY NUMBER

AID_PARTICIPANT /
PARTICIPANT_ROLE_CAT

SOCIAL_SECURITY_NUMBER /
SSN_NMBR

BORROWER TELEPHONE NUMBER

PARTICIPANT_ADDRESS /
PHONE_NMBR

COSIGNER ALIEN REGISTRATION NUMBER

PARTICIPANT /
ALIEN_REGISTRATION_NMBR

COSIGNER DATE OF BIRTH

AID_PARTICIPANT /
PARTICIPANT_ROLE_CAT

PARTICIPANT / BIRTH_DATE

COSIGNER DRIVER'S LICENSE NUMBER

AID_PARTICIPANT /
PARTICIPANT_ROLE_CAT

PARTICIPANT / DRIVERS_LIC_NMBR

COSIGNER DRIVER'S LICENSE STATE

AID_PARTICIPANT /
PARTICIPANT_ROLE_CAT

PARTICIPANT /
DRIVERS_LIC_STATE_NAME

COSIGNER IDENTIFIER

AID_PARTICIPANT /
PARTICIPANT_ROLE_CAT

PARTICIPANT / PARTICIPANT_ID

COSIGNER LOCAL ADDRESS

PARTICIPANT_ADDRESS / CITY_NAME

PARTICIPANT_ADDRESS /
POSTAL_CODE

PARTICIPANT_ADDRESS /
STATE_NAME

PARTICIPANT_ADDRESS /
STREET_NAME

PARTICIPANT_ADDRESS_USAGE /
PARTICIPANT_ADDR_USAGE_CAT

	PARTICIPANT_ADDRESS / CNTRY_NAME
COSIGNER NAME	
	PARTICIPANT_NAME / FIRST_NAME
	PARTICIPANT_NAME / LAST_NAME
	PARTICIPANT_NAME / MIDDLE_NAME
COSIGNER PERMANENT ADDRESS	
	PARTICIPANT_ADDRESS / CITY_NAME
	PARTICIPANT_ADDRESS / POSTAL_CODE
	PARTICIPANT_ADDRESS / STATE_NAME
	PARTICIPANT_ADDRESS / STREET_NAME
	PARTICIPANT_ADDRESS_USAGE / PARTICIPANT_ADDR_USAGE_CAT
	PARTICIPANT_ADDRESS / CNTRY_NAME
COSIGNER SIGNATURE DATE	
	PROMISSORY_NOTE_PARTICIPANT / NOTE_SIGNATURE_DATE
COSIGNER SOCIAL SECURITY NUMBER	
	SOCIAL_SECURITY_NUMBER / SSN_NMBR
COSIGNER TELEPHONE NUMBER	
	PARTICIPANT_ADDRESS / HONE_NMBR
STUDENT ALIEN REGISTRATION NUMBER	
	PARTICIPANT / LIEN_REGISTRATION_NMBR
STUDENT ANTICIPATED GRADUATION DATE	
	SCHOOL_ENROLLMENT / DEGREE_DATE
STUDENT COLLEGE GRADE LEVEL	
	SCHOOL_ENROLLMENT / SCHOOL_GRADE_LEVEL_NAME
STUDENT DATE OF BIRTH	
	AID_PARTICIPANT / PARTICIPANT_ROLE_CAT

	PARTICIPANT / BIRTH_DATE
STUDENT NAME, FIRST	PARTICIPANT_NAME / FIRST_NAME
STUDENT NAME, LAST	AID_PARTICIPANT / PARTICIPANT_ROLE_CAT
	PARTICIPANT_NAME / LAST_NAME
STUDENT NAME, MIDDLE	AID_PARTICIPANT / PARTICIPANT_ROLE_CAT
	PARTICIPANT_NAME / MIDDLE_NAME
STUDENT SOCIAL SECURITY NUMBER	AID_PARTICIPANT / PARTICIPANT_ROLE_CAT
	SOCIAL_SECURITY_NUMBER / SSN_NMBR

Flow Name: Loan Terms, Disbursements, Adjustments, Cancellations
Definition: Loan Terms, Disbursement amounts, dates, conditions, adjustments and cancellations due to changes in enrollment eligibility.

To Lender From EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
AID INFORMATION	
AID CERTIFIED AMOUNT	
	AID / AID_CERT_AMT
LOAN INFORMATION	
AID APPROVED AMOUNT	
	AID / AID_APRV_AMT
AID IDENTIFIER	
	AID / AID_ID
DISBURSEMENT AID PERIOD	
	AID / AID_PERIOD_START_DATE
	AID / AID_PERIOD_STOP_DATE
DISBURSEMENT ANTICIPATED DATE	
	AID_PRE_DISBURSEMENT / RECMD_DISB_DATE
DISBURSEMENT ANTICIPATED GUARANTOR FEE	
	AID_PRE_DISBURSEMENT / RECMD_FEE_AMT
DISBURSEMENT ANTICIPATED LOAN FEE	
	AID_PRE_DISBURSEMENT / RECMD_FEE_AMT
DISBURSEMENT ANTICIPATED NET AMOUNT	
	AID_PRE_DISBURSEMENT / RECMD_DISB_AMT
DISBURSEMENT DATE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
	AID_ACCOUNT_TRANSACTION / AID_TRXN_DATE
DISBURSEMENT GUARANTOR FEE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
DISBURSEMENT NET AMOUNT	

		AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
		AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
	DISBURSEMENT NUMBER	
		AID_ACCOUNT_TRANSACTION / TRXN_ID
SCHOOL INFORMATION		
	ACADEMIC CALENDAR YEAR	
		SCHOOL_STATISTICAL_DATA / ACAD_CALENDAR_YR_START_DATE
		SCHOOL_STATISTICAL_DATA / ACAD_CALENDAR_YR_STOP_DATE
	SCHOOL DISBURSEMENT AMOUNT OVERRIDE INDICATOR	
		AID_PRE_DISBURSEMENT / AMOUNT_OVERRIDE_IND
	SCHOOL IDENTIFIER	
		SCHOOL / SCHOOL_ID

Flow Name: Origination Record
Definition: All of the data necessary to originate an instance of aid.

From Participant To EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**

AID INFORMATION

    AID IDENTIFIER

         AID / AID_ID

    AID LENDER APPROVED AMOUNT

         AID / AID_CERT_AMT

    AID PERIOD

         AID / AID_PERIOD_START_DATE

         AID / AID_PERIOD_STOP_DATE

    AID SCHOOL APPROVED AMOUNT

         AID / AID_APRV_AMT

    AID TYPE

         AID_PROGRAM / AID_PGM_CAT

    AWARD YEAR

         AID_STATUS /
         AID_STAT_START_DATE

    DISBURSEMENT ANTICIPATED DATE

         AID_PRE_DISBURSEMENT /
         RECMD_DISB_DATE

    DISBURSEMENT ANTICIPATED NET AMOUNT

         AID_PRE_DISBURSEMENT /
         RECMD_DISB_AMT

    GUARANTOR IDENTIFIER

         AID_ORGANIZATION / ORG_ID

    LENDER IDENTIFIER

         AID_ORGANIZATION / ORG_ID

    ORIGINATION DATE

         AID / ORIGNT_DATE

    PROMISSORY NOTE IDENTIFIER

         PROMISSORY_NOTE / PROM_NOTE_ID

PARTICIPANT INFORMATION

    BORROWER ALIEN REGISTRATION NUMBER

         PARTICIPANT /
         ALIEN_REGISTRATION_NMBR

    BORROWER DATE OF BIRTH

PARTICIPANT / BIRTH_DATE

BORROWER DRIVER'S LICENSE NUMBER

PARTICIPANT / DRIVERS_LIC_NMBR

BORROWER DRIVER'S LICENSE STATE

PARTICIPANT /
DRIVERS_LIC_STATE_NAME

BORROWER IDENTIFIER

PARTICIPANT / PARTICIPANT_ID

BORROWER LOCAL ADDRESS

PARTICIPANT_ADDRESS / CITY_NAME

PARTICIPANT_ADDRESS /
POSTAL_CODE

PARTICIPANT_ADDRESS /
STATE_NAME

PARTICIPANT_ADDRESS /
STREET_NAME

PARTICIPANT_ADDRESS_USAGE /
PARTICIPANT_ADDR_USAGE_CAT

PARTICIPANT_ADDRESS /
CNTRY_NAME

BORROWER NAME

PARTICIPANT_NAME / FIRST_NAME

PARTICIPANT_NAME / LAST_NAME

PARTICIPANT_NAME / MIDDLE_NAME

BORROWER PERMANENT ADDRESS

PARTICIPANT_ADDRESS / CITY_NAME

PARTICIPANT_ADDRESS /
POSTAL_CODE

PARTICIPANT_ADDRESS /
STATE_NAME

PARTICIPANT_ADDRESS /
STREET_NAME

PARTICIPANT_ADDRESS_USAGE /
PARTICIPANT_ADDR_USAGE_CAT

PARTICIPANT_ADDRESS /
CNTRY_NAME

BORROWER SOCIAL SECURITY NUMBER

SOCIAL_SECURITY_NUMBER /

SSN_NMBR

            BORROWER TELEPHONE NUMBER
                                        PARTICIPANT_ADDRESS /
                                        PHONE_NMBR

            STUDENT ALIEN REGISTRATION NUMBER
                                        PARTICIPANT /
                                        ALIEN_REGISTRATION_NMBR

            STUDENT ANTICIPATED GRADUATION DATE
                                        SCHOOL_ENROLLMENT /
                                        DEGREE_DATE

            STUDENT COLLEGE GRADE LEVEL
                                        SCHOOL_ENROLLMENT /
                                        SCHOOL_GRADE_LEVEL_NAME

            STUDENT DATE OF BIRTH
                                        PARTICIPANT / BIRTH_DATE

            STUDENT IDENTIFIER
                                        PARTICIPANT / PARTICIPANT_ID

            STUDENT NAME
                                        PARTICIPANT_NAME / FIRST_NAME

                                        PARTICIPANT_NAME / LAST_NAME

                                        PARTICIPANT_NAME / MIDDLE_NAME

            STUDENT SOCIAL SECURITY NUMBER
                                        SOCIAL_SECURITY_NUMBER /
                                        SSN_NMBR

    PELL INFORMATION

            ACADEMIC CALENDAR
                                        SCHOOL EDUCATION_PROGRAM /
                                        EDU_PGM_UNIT_CAT

            CALCULATION ENROLLMENT PERCENTAGE
                                        AID_PRE_DISBURSEMENT /
                                        PMT_PERIOD_ENRL_STAT_CAT

            COST OF ATTENDANCE
                                        AID_PRE_DISBURSEMENT /
                                        PMT_PERIOD_ATTENDANCE_COST
                                        _AMT

            CR/CLOCK HOURS IN ALL PAYMENT PERIODS
            EXPECTED TO COMPLETE THIS SCHOOL YEAR.
                                        AID_PRE_DISBURSEMENT /
                                        TOTAL_PMT_PERIOD_HOURS_QTY

            CR/CLOCK HOURS IN PROGRAM'S DEFINITION OF

ACADEMIC YEAR.

AID_PRE_DISBURSEMENT /
EDU_PGM_UNIT_QTY

INCARCERATED FEDERAL PELL RECIPIENT CODE

PARTICIPANT /
INCARCERATION_CAT

LOW TUITION AND FEES AMOUNT

AID_PRE_DISBURSEMENT /
PMT_PERIOD_ATTENDANCE_COST
_AMT

NO. OF PAYMENT PERIODS IN STUDENT'S SCHOOL
 YEAR

AID_PRE_DISBURSEMENT /
PMT_PERIOD_QTY

PAYMENT METHODOLOGY

AID_PRE_DISBURSEMENT /
PMT_METHODOLOGY_CAT

TRANSACTION NUMBER

AID_APPLICATION_SCHOOL /
ISIR_NMBR

VERIFICATION STATUS CODE

AID_APPLICATION_OVERRIDE /
OVERRIDE_CANCEL_IND

WEEK OF INSTRUCTIONAL TIME USED TO
CALCULATE PAYMENT

AID_PRE_DISBURSEMENT /
PMT_INSTRUCTIONAL_PERIOD_QTY

WEEKS OF INSTRUCTIONAL TIME IN PROGRAMS
DEFINITION OF ACADEMIC YEAR.

AID_PRE_DISBURSEMENT /
TOTAL_ACAD_YR_PERIOD_QTY

SCHOOL INFORMATION

ACADEMIC CALENDAR YEAR

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_START_DATE

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_STOP_DATE

SCHOOL DISBURSEMENT AMOUNT OVERRIDE
INDICATOR

AID_PRE_DISBURSEMENT /
AMOUNT_OVERRIDE_IND

SCHOOL IDENTIFIER

The following diagram presents the flows of information exchanged between the Process Administrative Cost Allowance process and the postsecondary education community.
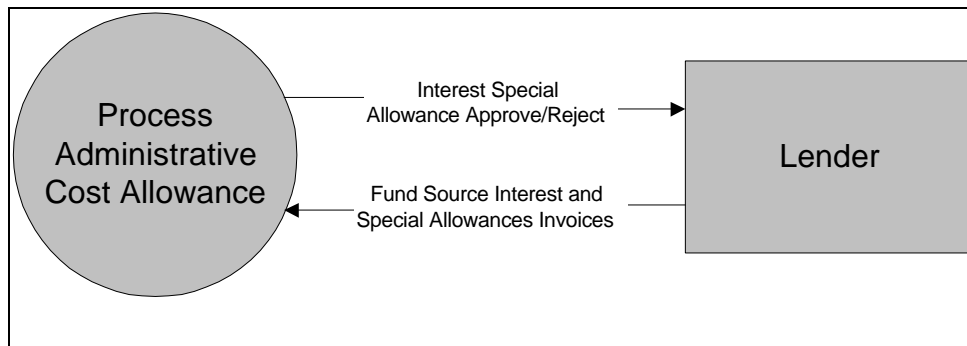

Exhibit 3.2.3-10 Process Administrative Cost Allowance

Flow Name: Fund Source Interest and Special Allowance Invoices
Definition: Billed invoices of lenders for subsidized student loan interest and special cost allowances.

From Lender To EASI/ED

Data Elements	Corresponding LDM Attribute(s)
BILLING INFORMATION	
AGGREGATE AMOUNT OWED	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
INVOICE NUMBER	AID_ACCOUNT_TRANSACTION / INVOICE_NMBR
LENDER INFORMATION	
LENDER IDENTIFIER	AID_ORGANIZATION / ORG_ID
LOAN INFORMATION	
AID TYPE	AID_PROGRAM / AID_PGM_CAT
LOAN INTEREST RATE	REPAYMENT_INTEREST / INTEREST_PCT
LOAN PRINCIPAL DISBURSED	AID_ACCOUNT_TRANSACTION / ACCT_TRANS_AMT
	AID_ACCOUNT_TRANSACTION / ACCT_TRANS_CAT
FEES DUE	DERIVED
ENDING PRINCIPAL DUE	DERIVED
LOAN PRINCIPAL PURCHASED	DERIVED
LOAN PRINCIPAL CURED	DERIVED
PRINCIPAL SOLD	DERIVED
PRINCIPAL PAID BY INSURANCE CLAIM	DERIVED

PRINCIPAL PAID BY BORROWER AND OTHER
PRINCIPAL REDUCTIONS

DERIVED

LOAN STATUS

AID_STATUS /
AID_STAT_CAT

LENGTH OF TIME IN REPAYMENT

AID_STATUS /
AID_STAT_START_DATE

Flow Name: Interest/Special Allowance Approve/Reject
Definition: Approval or rejection of a claim for interest or special allowance submitted by a fund source.

To Lender From EASI/ED

Data Elements	Corresponding LDM Attribute(s)
INVOICE INFORMATIUON	
INVOICE NUMBER	
	AID_ACCOUNT_TRANSACTION / INVOICE_NMBR
LOAN INFORMATION	
INTEREST/SPECIAL ALLOWANCE AMOUNT	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
INTEREST/SPECIAL ALLOWANCE APPROVE INDICATOR	
	Derived
LENDER IDENTIFIER	
	AID_ORGANIZATION / ORG_ID
REJECTION REASON	
	AID_ORGANIZATION_APPLICATION / APPL_REASON_CAT
	AID_PROGRAM_APPROVAL / APRV_CAT

The following diagram presents the flows of information exchanged between the Process Participant Disbursement Request process and the postsecondary education community.
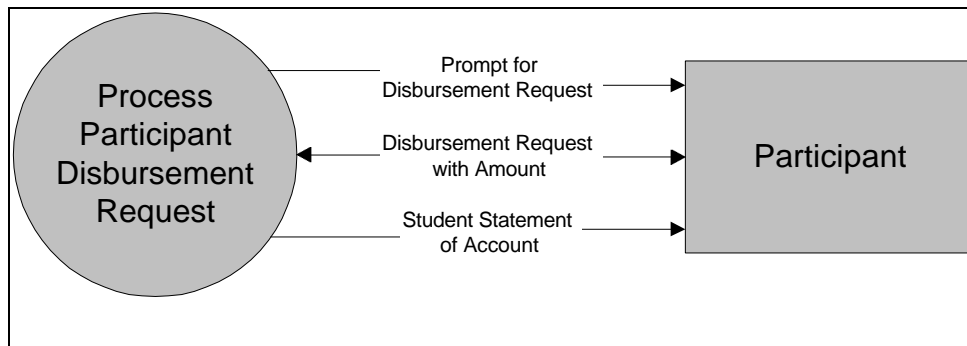

Exhibit 3.2.3-11 Process Participant Disbursement Request

Flow Name: Disbursement Request w/ Amount
Definition: Disbursement request is the business event triggered by the student to release the next aid disbursement. The student also designates the amount of disbursement and time period the funds are necessary.

To/from Participant from/to EASI/ED

Data Elements	Corresponding LDM Attribute(s)
AID INFORMATION	
ACCEPTANCE OF DISBURSEMENT INDICATOR	AID_PRE_DISBURSEMENT / PARTICIPANT_ACCEPT_IND
AID IDENTIFIER	AID / AID_ID
AUTHORIZED AMOUNT	AID_PRE_DISBURSEMENT / PARTICIPANT_REQST_DISB_AMT
CUMULATIVE LOAN TOTAL AMOUNT	Derived
DISBURSEMENT REQUEST AMOUNT	AID_PRE_DISBURSEMENT / PARTICIPANT_REQST_DISB_AMT
DISBURSEMENT REQUEST DATE	AID_PRE_DISBURSEMENT / DISB_AUTH_DATE
INTEREST CAPITALIZATION	REPAYMENT_INTEREST / CAPITALIZATION_IND
MONTHLY PAYMENT AMOUNTS	Derived
PROJECTED BALANCE	Derived
PARTICIPANT INFORMATION	
PARTICIPANT IDENTIFIER	PARTICIPANT / PARTICIPANT_ID
SCHOOL INFORMATION	
SCHOOL IDENTIFIER	SCHOOL / SCHOOL_ID

Flow Name: Prompt for Disbursement Request
Definition: A notice sent to the student reminding him/her that he/she must initiate a request for a pending disbursement. The prompt is automatically sent 30 days before the date of the disbursement.

To Participant From EASI/ED

Data Elements	Corresponding LDM Attribute(s)
LENDER INFORMATION	
CONTACT PHONE NUMBER	AID_ORGANIZATION_POC / PHONE_NMBR
INSTRUCTIONS FOR REQUEST	Rejected
INTERNET ADDRESS	AID_ORGANIZATION_POC / ELECTRONIC_ADDR_NAME
LOAN INFORMATION	
AID IDENTIFIER	AID / AID_ID
AID TYPE CODE	AID_PROGRAM / PGM_ID
MAXIMUM DISBURSEMENT AMOUNT	Derived
RESPONSE DATE	Derived
PARTICIPANT INFORMATION	
PARTICIPANT IDENTIFIER	PARTICIPANT / PARTICIPANT_ID
SCHOOL INFORMATION	
SCHOOL IDENTIFIER	SCHOOL / SCHOOL_ID

Flow Name: Student Statement of Account
Definition: Student statement of account consists of the student's loan balance, total interest paid, and a list of new transactions, scheduled disbursements etc., since the time of last statement.

To Participant From EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
FAA FIRST NAME	
	SCHOOL_POC / FIRST_NAME
	SCHOOL_POC / SCHOOL_POC_CAT
FAA LAST NAME	
	SCHOOL_POC / LAST_NAME
FAA MIDDLE NAME	
	SCHOOL_POC / MIDDLE_NAME
AID DISBURSEMENT INFORMATION	
DISBURSEMENT ANTICIPATED DATE	
	AID_PRE_DISBURSEMENT / RECMD_DISB_DATE
DISBURSEMENT ANTICIPATED LOAN FEE AMOUNT	
	AID_PRE_DISBURSEMENT / RECMD_FEE_AMT
DISBURSEMENT ANTICIPATED NET AMOUNT	
	AID_PRE_DISBURSEMENT / RECMD_DISB_AMT
DISBURSEMENT DATE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_DATE
DISBURSEMENT GUARANTEE FEE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
DISBURSEMENT NET AMOUNT	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
DISBURSEMENT NUMBER	
	AID_ACCOUNT_TRANSACTION / TRXN_ID
DISBURSEMENT ORIGINATION FEE	

		AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
		AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
AID INFORMATION		
	ACADEMIC CALENDAR YEAR	
		SCHOOL_STATISTICAL_DATA / ACAD_CALENDAR_YR_START_DATE
		SCHOOL_STATISTICAL_DATA / ACAD_CALENDAR_YR_STOP_DATE
	AID APPROVED AMOUNT	
		AID / AID_APRV_AMT
	AID CERTIFIED AMOUNT	
		AID / AID_CERT_AMT
	AID IDENTIFIER	
		AID / AID_ID
	AID TYPE CODE	
		AID_PROGRAM / PGM_ID
	INTEREST ACCRUED	
		Derived
	INTEREST CAPITALIZATION QUESTION	
		REPAYMENT_INTEREST / CAPITALIZATION_IND
	INTEREST DEFERRED	
		Derived
	INTEREST RATE	
		AID_REPAYMENT_PLAN / AID_REPMT_INTEREST_PCT
	LOAN ORIGINATION DATE	
		AID / ORIGNT_DATE
LENDER INFORMATION		
	LENDER IDENTIFIER	
		AID_ORGANIZATION / ORG_ID
PARTICIPANT INFORMATION		
	BORROWER SIGNATURE DATE	
		PROMISSORY_NOTE_PARTICIPANT / NOTE_SIGNATURE_DATE
	BORROWER'S ALIEN REGISTRATION NUMBER	
		PARTICIPANT /

	ALIEN_REGISTRATION_NMBR
BORROWER'S DATE OF BIRTH	
	PARTICIPANT / BIRTH_DATE
BORROWER'S DRIVERS LICENSE NUMBER	
	PARTICIPANT / DRIVERS_LIC_NMBR
BORROWER'S DRIVERS LICENSE STATE	
	PARTICIPANT / DRIVERS_LIC_STATE_NAME
BORROWER'S EMPLOYMENT SINCE DATE	
	PARTICIPANT_EMPLOYMENT / EMPLOYMENT_START_DATE
BORROWER'S FIRST NAME	
	PARTICIPANT_NAME / FIRST_NAME
BORROWER'S LAST NAME	
	PARTICIPANT_NAME / LAST_NAME
BORROWER'S LOCAL ADDRESS	
	PARTICIPANT_ADDRESS / STREET_NAME
	PARTICIPANT_ADDRESS_USAGE / PARTICIPANT_ADDR_USAGE_CAT
BORROWER'S LOCAL ADDRESS CITY	
	PARTICIPANT_ADDRESS / CITY_NAME
BORROWER'S LOCAL ADDRESS STATE	
	PARTICIPANT_ADDRESS / STATE_NAME
	PARTICIPANT_ADDRESS / CNTRY_NAME
BORROWER'S LOCAL ZIP CODE	
	PARTICIPANT_ADDRESS / POSTAL_CODE
BORROWER'S MIDDLE NAME	
	PARTICIPANT_NAME / MIDDLE_NAME
BORROWER'S PERMANENT ADDRESS	
	PARTICIPANT_ADDRESS / STREET_NAME
	PARTICIPANT_ADDRESS_USAGE / PARTICIPANT_ADDR_USAGE_CAT
BORROWER'S PERMANENT ADDRESS CITY	
	PARTICIPANT_ADDRESS / CITY_NAME

BORROWER'S PERMANENT ADDRESS STATE

PARTICIPANT_ADDRESS /
STATE_NAME

PARTICIPANT_ADDRESS /
CNTRY_NAME

BORROWER'S PERMANENT ZIP CODE

PARTICIPANT_ADDRESS /
POSTAL_CODE

BORROWER'S PREVIOUS ADDRESS

PARTICIPANT_ADDRESS / CITY_NAME

PARTICIPANT_ADDRESS /
POSTAL_CODE

PARTICIPANT_ADDRESS /
STATE_NAME

PARTICIPANT_ADDRESS /
STREET_NAME

PARTICIPANT_ADDRESS_USAGE /
PARTICIPANT_ADDR_USAGE_CAT

PARTICIPANT_ADDRESS /
CNTRY_NAME

BORROWER'S SOCIAL SECURITY NUMBER

SOCIAL_SECURITY_NUMBER /
SSN_NMBR

BORROWER'S TELEPHONE NUMBER

PARTICIPANT_ADDRESS /
PHONE_NMBR

BORROWERS DEFAULT ON EDUCATION LOANS

AID_STATUS / AID_STAT_CAT

DEPENDENCY STATUS

AID_APPLICATION_PARTICIPANT /
DEPENDENCY_CAT

EFT AUTHORIZATION CODE

PARTICIPANT_AUTHORIZATION /
PARTICIPANT_AUTH_CAT

ESTIMATED FINANCIAL AID AMOUNT

Derived

EXPECTED FAMILY CONTRIBUTION

AID_APPLICATION / EFC_AMT

STUDENT'S ALIEN REGISTRATION NUMBER

PARTICIPANT /

		ALIEN_REGISTRATION_NMBR
STUDENT'S COLLEGE GRADE LEVEL		
		SCHOOL_ENROLLMENT / SCHOOL_GRADE_LEVEL_NAME
STUDENT'S DATE OF BIRTH		
		PARTICIPANT / BIRTH_DATE
STUDENT'S DEFAULT ON EDUCATION LOANS		
	Derived	
STUDENT'S FIRST NAME		
		PARTICIPANT_NAME / FIRST_NAME
STUDENT'S LAST NAME		
		PARTICIPANT_NAME / LAST_NAME
STUDENT'S MIDDLE NAME		
		PARTICIPANT_NAME / MIDDLE_NAME
STUDENT'S SOCIAL SECURITY NUMBER		
		SOCIAL_SECURITY_NUMBER / SSN_NMBR
PROGRAM INFORMATION		
PELL AWARD YEAR		
		AWARD / APPLICABLE_AWARD_YR_NMBR
SCHOOL INFORMATION		
ENROLLMENT DATE		
		SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE
ENROLLMENT STATUS CODE		
		SCHOOL_ENROLLMENT / ENRL_PCT
		SCHOOL_ENROLLMENT / ENRL_STAT_CAT
FAA FAX NUMBER		
		SCHOOL_POC / FAX_NMBR
FAA NAME		
		SCHOOL_POC / SCHOOL_POC_CAT
		SCHOOL_POC / SCHOOL_POC_NAME
FAA TELEPHONE NUMBER		
		SCHOOL_POC / PHONE_NMBR
INSTITUTION ADDRESS		
		SCHOOL_POC / CITY_NAME

SCHOOL_POC / POSTAL_CODE

SCHOOL_POC / STATE_NAME

SCHOOL_POC / STREET_NAME

INSTITUTION NAME

SCHOOL / OFFICIAL_SCHOOL_NAME

SCHOOL DESIGNATED BRANCH/DIVISION CODE

SCHOOL / SCHOOL_ID

SCHOOL IDENTIFIER

SCHOOL / SCHOOL_ID

SCHOOL REPORT DATE

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_STOP_DATE

The following diagram presents the flows of information exchanged between the Process Special Allowance process and the postsecondary education community.
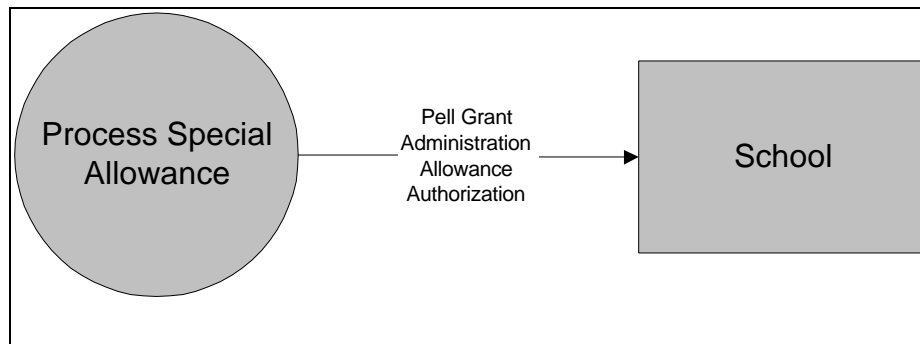

Exhibit 3.2.3-12 Process Special Allowance

Flow Name: Pell Grant Administration Allowance Authorization
Definition: Administrative payments are made to institutions for the processing of Pell Grants. EASI/ED generates the request for the payment.

To School From EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
ACA AMOUNT	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
ACA EFFECTIVE DATE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_DATE
ADJUSTED NUMBER OF RECIPIENTS	
	Derived
TOTAL PELL GRANT RECIPIENTS	
	Derived
CAMPUS BASE PROGRAM INFORMATION	
AWARD YEAR	
	AWARD / APPLICABLE_AWARD_YR_NMBR
SCHOOL INFORMATION	
SCHOOL IDENTIFIER	
	SCHOOL / SCHOOL_ID

The following diagram presents the flows of information exchanged between the Reallocate Funds process and the postsecondary education community.
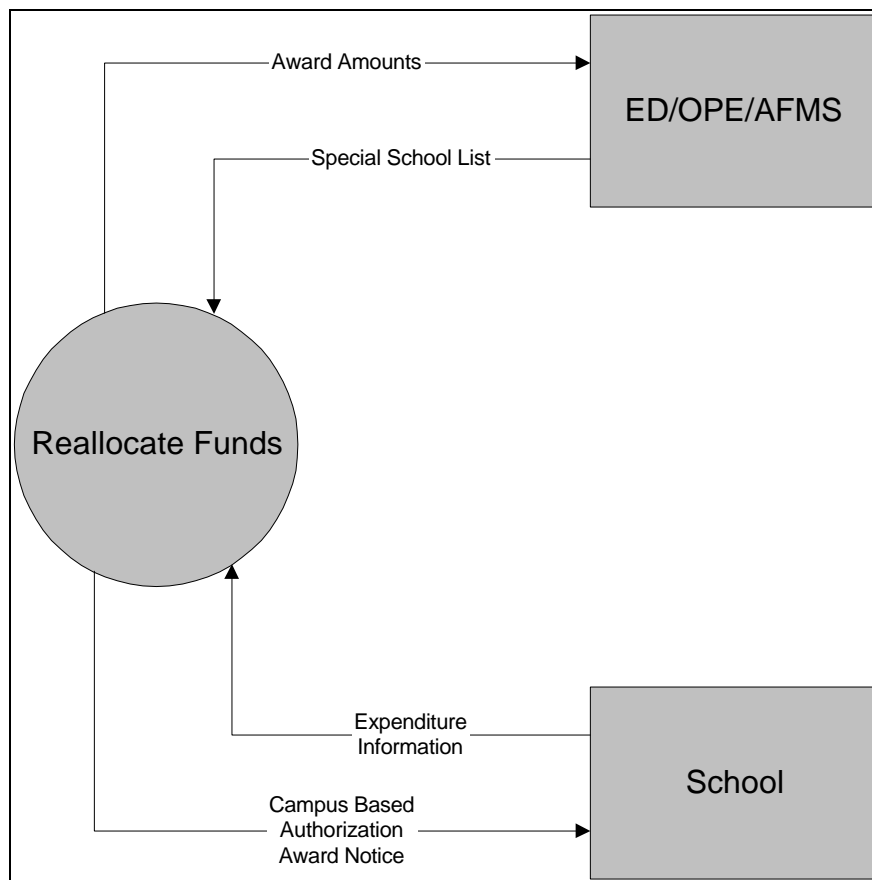

Exhibit 3.2.3-13 Reallocate Funds

Flow Name: Award Amounts
Definition: Amount allocated to a school for the Campus Based programs in which it is participating.

To ED/OPE/AFMS From EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
CAMPUS BASED PROGRAM INFORMATION	
AWARD YEAR	
	AWARD / APPLICABLE_AWARD_YR_NMBR
FSEOG AWARD AMOUNT	
	AWARD / AWARD_AMT
	AWARD / AWARD_CAT
FWS AWARD AMOUNT	
	AWARD / AWARD_AMT
	AWARD / AWARD_CAT
PEKINS AWARD AMOUNT	
	AWARD / AWARD_AMT
	AWARD / AWARD_CAT
SCHOOL INFORMATION	
SCHOOL IDENTIFIER	
	SCHOOL / SCHOOL_ID

Flow Name: Special School List

Definition: List of schools that require special attention during the reallocation process (e.g. suffering from
natural disasters).

From ED/OPE/AFMS To EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
PROGRAM INFORMATION	
AWARD YEAR	
	AWARD / APPLICABLE_AWARD_YR_NMBR
SCHOOL INFORMATION	
SCHOOL ID	
	SCHOOL / SCHOOL_ID

Flow Name: Campus Based Authorization Award Notice
Definition: A notification to a school indicating the amount of Campus Based authorization the Department of Education has awarded the school.

To School From EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**

CAMPUS BASE PROGRAM INFORMATION

    AWARD YEAR

                AWARD / APPLICABLE_AWARD_YR_NMBR

    EFFECTIVE DATE

                AWARD / DRAWDOWN_START_DATE

    FEDERAL PERKINS FUNDS AWARD AMOUNTS

                AWARD / AWARD_AMT

                AWARD / AWARD_CAT

    FEDERAL SUPPLEMENTAL EDUCATIONAL
    OPPORTUNITY GRANT AWARD AMOUNT

                AWARD / AWARD_AMT

                AWARD / AWARD_CAT

    FEDERAL WORK-STUDY FUNDS AWARD AMOUNT

                AWARD / AWARD_AMT

                AWARD / AWARD_CAT

SCHOOL IDENTIFIER

    SCHOOL IDENTIFIER

                SCHOOL / SCHOOL_ID

Flow Name: Expenditure Information
Definition: An account of the unused funds for each of the campus based programs.

From school To EASI/ED

Data Elements	Corresponding LDM Attribute(s)

CAMPUS BASED PROGRAM INFORMATION

    AWARD YEAR

        AWARD /
        APPLICABLE_AWARD_YR_NMBR

    FSEOG UNUSED FUNDS

        AID_ACCOUNT_TRANSACTION /
        AID_TRXN_AMT

        AID_ACCOUNT_TRANSACTION /
        AID_TRXN_CAT

    FWS UNUSED FUNDS

        AID_ACCOUNT_TRANSACTION /
        AID_TRXN_AMT

        AID_ACCOUNT_TRANSACTION /
        AID_TRXN_CAT

    PERKINS UNUSED FUNDS

        AID_ACCOUNT_TRANSACTION /
        AID_TRXN_AMT

        AID_ACCOUNT_TRANSACTION /
        AID_TRXN_CAT

    REQUEST FOR FWS FUNDS

        AWARD / AWARD_CAT

        AWARD / AWARD_REQST_AMT

    SCHOOL ID

        SCHOOL / SCHOOL_ID

Flow Name: Unused Funds Information
Definition: Unused funds from a drawdown allotment which a school must return to the Department of Education.

To/from ED/CFO from/to EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
AWARD INFORMATION	
AWARD YEAR	
	AID_PROGRAM / PGM_ID
	AWARD / APPLICABLE_AWARD_YR_NMBR
EXCESS CASH AMOUNT	
	Derived
REFUND METHOD	
	AID_ACCOUNT_TRANSACTION / REMITTANCE_CAT
REFUND PURPOSE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
LEDGER INFORMATION	
EFFECTIVE DATE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_DATE
SCHOOL INFORMATION	
SCHOOL DUNS NUMBER	
	SCHOOL / SCHOOL_ID
TITLE IV INFORMATION	
PROGRAM	
	AID_PROGRAM / AID_PGM_NAME

The following diagram presents the flows of information exchanged between the Reconcile Drawdown process and the postsecondary education community.
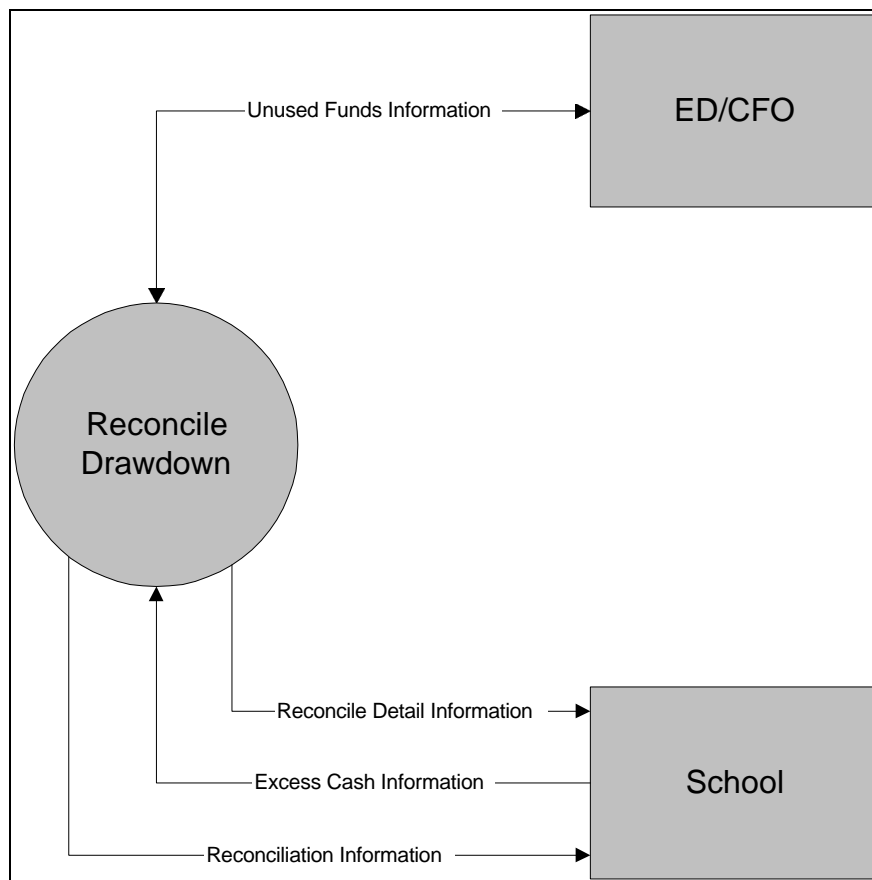

Exhibit 3.2.3-14 Reconcile Drawdown

Flow Name: Excess Cash Information
Definition: Funds from a drawdown allotment which a school must return to the Department of Education.

From School To EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
EFFECTIVE DATE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_DATE
EXCESS CASH AMOUNT	
	Derived
REFUND METHOD	
	AID_ACCOUNT_TRANSACTION / REMITTANCE_CAT
REFUND PURPOSE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
AID INFORMATION	
AID TYPE	
	AID_PROGRAM / AID_PGM_CAT
	AID_PROGRAM / PGM_ID
SCHOOL INFORMATION	
SCHOOL ID	
	SCHOOL / SCHOOL_ID

Flow Name: Reconcile Detail Information
Definition: An itemized account of disbursements by a school for a particular aid program.

To School From EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
LOAN INFORMATION	
AID IDENTIFIER	
	AID / AID_ID
BOOKED STATUS	
	AID / BOOKED_DATE
DISBURSE ACTUAL NET AMOUNT	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
DISBURSEMENT DATE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_DATE
TRANSACTION DATE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_DATE
TRANSACTION TYPE	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT

Flow Name: Reconciliation Information
Definition: Information from reconciliations performed by the Department of Education accounting and reporting systems. Reports include drawdown reconciliation reports and end of year disbursement reports.

To School From EASI/ED

Data Elements	**Corresponding LDM Attribute(s)**
AID INFORMATION	
AID PROGRAM YEAR	AWARD / APPLICABLE_AWARD_YR_NMBR
DISBURSEMENT INFORMATION	
ADJUSTED ENDING BALANCE	Derived
BEGINNING BALANCE	JOURNAL_ENTRY_DETAIL / DETAIL_AMT
CURRENT MONTHS TOTAL UNBOOKED AMOUNT	Derived
EFFECTIVE DATE	Rejected
ENDING CASH BALANCES	JOURNAL_ENTRY_DETAIL / DETAIL_AMT
PREVIOUS MONTHS TOTAL UNBOOKED AMOUNT	Derived
TOTAL BOOKED ACUTAL DISBURSEMENT	Derived
TOTAL BOOKED ADJUSTED DISBURSEMENT	Derived
TOTAL BOOKED CANCELED DISBURSEMENT	Derived
TOTAL CASH RECEIPT	AWARD / AWARD_AMT
TOTAL RETURNED EXCESS CASH	Derived
TRANSACTION AMOUNT	AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT
TRANSACTION DATE	

	AID_ACCOUNT_TRANSACTION / AID_TRXN_DATE
TRANSACTION RECEIPT/RETURN	
	AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT
SCHOOL INFORMATION	
SCHOOL IDENTIFIER	
	SCHOOL / SCHOOL_ID

The following diagram presents the flows of information exchanged between the Reconcile State Sponsored Instructional Grant Expenditure process and the postsecondary education community.
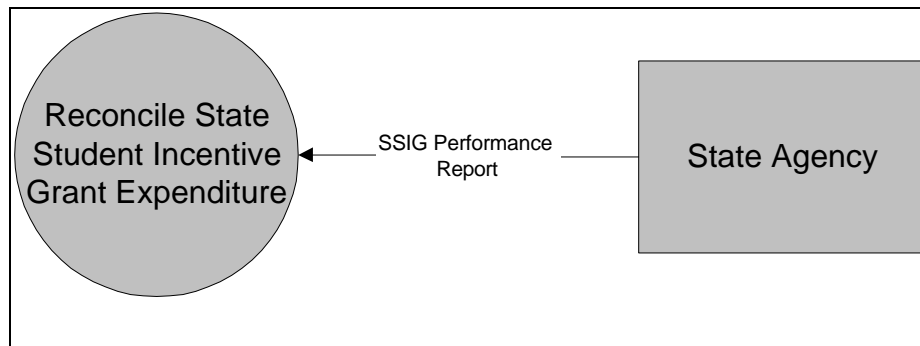

Exhibit 3.2.3-15 Reconcile State Student IncentiveGrant Expenditure

Flow Name: SSIG Performance Report
Definition: Performance report submitted by state agencies for the SSIG program.

From State Agency To EASI/ED

Data Elements	Corresponding LDM Attribute(s)
AWARD YEAR	AWARD / APPLICABLE_AWARD_YR_NMBR
GRADUATE STUDENT INDICATOR	PERFORMANCE_REPORT_SUMMARY / RPT_GRADUATE_STUDENT_IND

SSIG BY ENROLLMENT

AMOUNT FUNDS GIVEN TO STUDENT THAT WERE ½ TIME	PERFORMANCE_REPORT_ENRL_ DETAIL / RPT_ENRL_DETAIL_CAT
	PERFORMANCE_REPORT_ENRL_ DETAIL / PT_ENRL_FED_FUNDS_AMT
	PERFORMANCE_REPORT_ENRL_ DETAIL / RPT_ENRL_STATE_FUNDS_AMT
	PERFORMANCE_REPORT_ENRL_ DETAIL / RPT_ENRL_STUDENT_DEPENDENCY _IND
AMOUNT FUNDS GIVEN TO STUDENT THAT WERE ¾ TIME	PERFORMANCE_REPORT_ENRL_ DETAIL / RPT_ENRL_DETAIL_CAT
	PERFORMANCE_REPORT_ENRL_ DETAIL / RPT_ENRL_FED_FUNDS_AMT
	PERFORMANCE_REPORT_ENRL_ DETAIL / RPT_ENRL_STATE_FUNDS_AMT
	PERFORMANCE_REPORT_ENRL_ DETAIL / RPT_ENRL_STUDENT_DEPENDENCY _IND
AMOUNT FUNDS GIVEN TO STUDENT THAT WERE FULL TIME	PERFORMANCE_REPORT_ENRL_

DETAIL / RPT_ENRL_DETAIL_CAT

PERFORMANCE_REPORT_ENRL_
DETAIL /
RPT_ENRL_FED_FUNDS_AMT

PERFORMANCE_REPORT_ENRL_
DETAIL /
RPT_ENRL_STATE_FUNDS_AMT

PERFORMANCE_REPORT_ENRL_
DETAIL /
RPT_ENRL_STUDENT_DEPENDENCY
_IND

AMOUNT FUNDS GIVEN TO STUDENT THAT WERE
LESS THAN ½ TIME

PERFORMANCE_REPORT_ENRL_
DETAIL / RPT_ENRL_DETAIL_CAT

PERFORMANCE_REPORT_ENRL_
DETAIL /
RPT_ENRL_FED_FUNDS_AMT

PERFORMANCE_REPORT_ENRL_
DETAIL /
RPT_ENRL_STATE_FUNDS_AMT

PERFORMANCE_REPORT_ENRL_
DETAIL /
RPT_ENRL_STUDENT_DEPENDENCY
_IND

SSIG BY INCOME LEVEL

NUMBER STUDENTS BELOW 19,000

PERFORMANCE_REPORT_ENRL_
DETAIL / RPT_ENRL_DETAIL_CAT

PERFORMANCE_REPORT_ENRL_
DETAIL /
RPT_ENRL_DETAIL_STUDENT_CNT

PERFORMANCE_REPORT_ENRL_
DETAIL /
RPT_ENRL_STUDENT_DEPENDENCY
_IND

NUMBER STUDENTS BETWEEN 20K-40K

PERFORMANCE_REPORT_ENRL_
DETAIL / RPT_ENRL_DETAIL_CAT

PERFORMANCE_REPORT_ENRL_
DETAIL /
RPT_ENRL_DETAIL_STUDENT_CNT

		PERFORMANCE_REPORT_ENRL_ DETAIL / RPT_ENRL_STUDENT_DEPENDENCY _IND
NUMBER STUDENTS OVER 40K		
		PERFORMANCE_REPORT_ENRL_ DETAIL / RPT_ENRL_DETAIL_CAT
		PERFORMANCE_REPORT_ENRL_ DETAIL / RPT_ENRL_DETAIL_STUDENT_CNT
		PERFORMANCE_REPORT_ENRL_ DETAIL / RPT_ENRL_STUDENT_DEPENDENCY _IND
SSIG GRANT EXPENDITURE		
TOTAL STATE FUNDS SPENT		
		PERFORMANCE_REPORT_INCOME_ DETAIL / INCOME_STUDENT_DEPENDENCY _IND
		PERFORMANCE_REPORT_SCHOOL_ DETAIL / RPT_SCHOOL_DETAIL_FOR_PROFIT _IND
		PERFORMANCE_REPORT_SCHOOL_ DETAIL / RPT_SCHOOL_DETAIL_NON_PROFIT _IND
		PERFORMANCE_REPORT_SCHOOL_ DETAIL / RPT_SCHOOL_DETAIL_OWNERSHIP _CAT
		PERFORMANCE_REPORT_SCHOOL_ DETAIL / RPT_SCHOOL_DETAIL_PGM_CAT
		PERFORMANCE_REPORT_SCHOOL_ DETAIL / RPT_SCHOOL_STATE_FUNDS_AMT
SSIG GRANT EXPENDITURES		
SCHOOL TYPE		
		SCHOOL / PUBLIC_IND

SCHOOL / SCHOOL_CAT

TOTAL FEDERAL FUNDS SPENT

PERFORMANCE_REPORT_INCOME_
DETAIL / INCOME_STUDENT_
DEPENDENCY_IND

PERFORMANCE_REPORT_SCHOOL_
DETAIL / RPT_SCHOOL_DETAIL_FOR_
PROFIT_IND

PERFORMANCE_REPORT_SCHOOL_
DETAIL / RPT_SCHOOL_DETAIL_NON_
PROFIT_IND

PERFORMANCE_REPORT_SCHOOL_
DETAIL / RPT_SCHOOL_DETAIL_
OWNERSHIP_CAT

PERFORMANCE_REPORT_SCHOOL_
DETAIL /
RPT_SCHOOL_DETAIL_PGM_CAT

PERFORMANCE_REPORT_SCHOOL_
DETAIL /
RPT_SCHOOL_FED_FUNDS_AMT

SSIG WORK EXPENDITURE

TOTAL FEDERAL FUNDS SPENT

PERFORMANCE_REPORT_INCOME_
DETAIL / INCOME_STUDENT_
DEPENDENCY_IND

PERFORMANCE_REPORT_INCOME_
DETAIL / INCOME_STUDENT_
DEPENDENCY_IND

PERFORMANCE_REPORT_SCHOOL_
DETAIL / RPT_SCHOOL_DETAIL_FOR_
PROFIT_IND

PERFORMANCE_REPORT_SCHOOL_
DETAIL / RPT_SCHOOL_DETAIL_FOR_
PROFIT_IND

PERFORMANCE_REPORT_SCHOOL_
DETAIL / RPT_SCHOOL_DETAIL_NON_
PROFIT_IND

PERFORMANCE_REPORT_SCHOOL_
DETAIL / RPT_SCHOOL_DETAIL_NON_
PROFIT_IND

PERFORMANCE_REPORT_SCHOOL_

DETAIL / RPT_SCHOOL_DETAIL_
OWNERSHIP_CAT

PERFORMANCE_REPORT_SCHOOL_
DETAIL /
RPT_SCHOOL_DETAIL_PGM_CAT

PERFORMANCE_REPORT_SCHOOL_
DETAIL /
RPT_SCHOOL_DETAIL_PGM_CAT

PERFORMANCE_REPORT_SCHOOL_
DETAIL /
RPT_SCHOOL_DETAIL_PRIVATE_IND

PERFORMANCE_REPORT_SCHOOL_
DETAIL /
RPT_SCHOOL_DETAIL_PRIVATE_IND

PERFORMANCE_REPORT_SCHOOL_
DETAIL /
RPT_SCHOOL_DETAIL_PUBLIC_IND

PERFORMANCE_REPORT_SCHOOL_
DETAIL /
RPT_SCHOOL_DETAIL_SCHOOL_CAT

PERFORMANCE_REPORT_SCHOOL_
DETAIL /
RPT_SCHOOL_FED_FUNDS_AMT

PERFORMANCE_REPORT_SCHOOL_
DETAIL /
RPT_SCHOOL_FED_FUNDS_AMT

3.2.3.2 Data Flow Characteristics Information

The following tables list the data flow characteristics for the data flows comprising the Aid Origination and Disbursement Subsystem external interfaces. The data flows are grouped alphabetically by the process within the subsystem that receives/sends the data flow.

Process Name: Allocate Fund

Data Flow Name	Direction	Currency	Timing	Current Media	Acceptable Media
Award Amounts	To ED/OPE/AFMS From EASI/ED	Most Current Information	Interactive	Not Applicable	Electronic
Campus Based Appropriation	From ED/OPE/AFMS To EASI/ED	No more than 1 year old	Annually	Paper	Electronic/Paper
Campus Based Authorization Award Notice	To School From EASI/ED	No more than 1 year old	Annually	Paper	Electronic/Paper
Campus Based Authorization Simulation Result	To ED/OPE/AFMS From EASI/ED	No more than 1 year old	Annually	Paper	Electronic/Paper

Process Name: Authorize Invoice and Schedule Disbursements

Data Flow Name	Direction	Currency	Timing	Current Media	Acceptable Media
Authorization to Disburse	To Lender From EASI/ED	Most Current Information	Interactive	Electronic/Paper	Electronic/Paper
Disbursement Records	To ED/OPE/Regional Office From EASI/ED	Most Current Information	Daily	Paper	Electronic/Paper
Disbursement Records, Adjustments/Cancellations (Accept/Reject)	From ED/OPE/Regional Office To EASI/ED	Most Current Information	Interactive	Paper	Electronic/Paper

Process Name: Check Origination

Data Flow Name	Direction	Currency	Timing	Current Media	Acceptable Media
Disbursement Adjustment Record	To Lender From EASI/ED	Most Current Information	Interactive	Electronic/Paper	Electronic/Paper
Disbursement Adjustment Record	From Participant To EASI/ED	Most Current Information	Interactive	Electronic/Paper	Electronic/Paper
Disbursement Adjustment Record	To/from School from/to EASI/ED	Most Current Information	Interactive	Electronic/Paper	Electronic/Paper

Data Flow Name	Direction	Currency	Timing	Current Media	Acceptable Media
Disbursement Drawdown Request	To Lender From EASI/ED	Most Current Information	Interactive	Electronic/Paper	Electronic/Paper
Disbursement Drawdown Request	From School To EASI/ED	Most Current Information	Interactive	Electronic/Paper	Electronic/Paper
Disbursement Records	To Lender From EASI/ED	Most Current Information	Interactive	Electronic/Paper	Electronic/Paper
Disbursement Records	To Participant From EASI/ED	Most Current Information	Interactive	Electronic/Paper	Electronic/Paper
Disbursement Records	From School To EASI/ED	Most Current Information	Interactive	Electronic/Paper	Electronic
Edit Result	From Lender To EASI/ED	Most Current Information	Interactive	Electronic/Paper	Electronic/Paper
Edit Result	To/from School from/to EASI/ED	Most Current Information	Interactive	Electronic/Paper	Electronic/Paper
Loan Demographic Information	To Lender From EASI/ED	Most Current Information	Interactive	Electronic/Paper	Electronic/Paper
Loan Terms, Disbursements, Adjustments, Cancellations	To Lender From EASI/ED	Most Current Information	Interactive	Electronic/Paper	Electronic/Paper
Multi-year promissory note Info	To Lender From EASI/ED	Most Current Information	Daily	Paper	Electronic/Paper
Origination Record	To Lender From EASI/ED	Most Current Information	Interactive	Electronic/Paper	Electronic/Paper
Origination record	From School To EASI/ED	Most Current Information	Interactive	Electronic/Paper	Electronic/Paper

Process Name: Confirm Administrative Expense Allowances Reinsurance Fee

Data Flow Name	Direction	Currency	Timing	Current Media	Acceptable Media
ACA and Reinsurance Fees Payment	To Guaranty Agency From EASI/ED	Most Current Information	Monthly	Paper	Electronic/Paper
ACA/Reinsurance Fee Reconciliation	To/from Guaranty Agency from/to EASI/ED	Most Current Information	Interactive	Paper	Electronic/Paper

Process Name: Maintain Authorization Fund

Data Flow Name	Direction	Currency	Timing	Current Media	Acceptable Media

Data Flow Name	Direction	Currency	Timing	Current Media	Acceptable Media
Dear Colleague/FAA Notice	To School From EASI/ED	Most Current Information	Interactive	Paper	Electronic/Paper
Pell Grant Statement of Account	To School From EASI/ED	Most Current Information	Interactive	Electronic/Paper	Electronic/Paper

Process Name: Maintain Enrollment Status

Data Flow Name	Direction	Currency	Timing	Current Media	Acceptable Media
Enrollment Status	To Lender From EASI/ED	Most Current Information	Interactive	Electronic/Paper	Electronic/Paper
Enrollment Status	From School To EASI/ED	Most Current Information	Interactive	Electronic/Paper	Electronic/Paper
Request for Enrollment Status	To School From EASI/ED	Most Current Information	Interactive	Electronic/Paper	Electronic/Paper
Request for Enrollment Status (For a Specific Period)	To School From EASI/ED	Most Current Information	Interactive	Electronic/Ppaer	Electronic/Paper

Process Name: Manage Lender Disbursement Information

Data Flow Name	Direction	Currency	Timing	Current Media	Acceptable Media
Student Disbursement Information	To School From EASI/ED	Most Current Information	Interactive	Electronic/Paper	Electronic/Paper

Process Name: Manage Perkins Loan Cancellation

Data Flow Name	Direction	Currency	Timing	Current Media	Acceptable Media
Low Income School Information	From State Agency To EASI/ED	No more than 6 months old	Bi-annually	Electronic/Paper	Electronic/Paper
Request for Low Income School Information	To State Agency From EASI/ED	Most Current Information	Bi-annually	Paper	Electronic/Paper
School Directory Information	To School From EASI/ED	No more than 6 months old	Bi-annually	Paper	Electronic/Paper

Process Name: Originate Consolidated Direct Loan

Data Flow Name	Direction	Currency	Timing	Current Media	Acceptable Media
Loan Demographic Information	To Lender From EASI/ED	Most Current Information	Interactive	Electronic/Paper	Electronic/Paper
Loan Terms, Disbursements, Adjustments, Cancellations	To Lender From EASI/ED	Most Current Information	Interactive	Electronic/Paper	Electronic/Paper
Origination Record	From Participant To EASI/ED	Most Current Information	Interactive	Electronic/Paper	Electronic/Paper

Process Loan: Process Administrative Cost Allowance

Data Flow Name	Direction	Currency	Timing	Current Media	Acceptable Media
Fund Source Interest and Special Allowance Invoices	From Lender To EASI/ED	Most Current Information	Quarterly	Electronic/Paper	Electronic/Paper
Interest/Special Allowance Approve/Reject	To Lender From EASI/ED	Most Current Information	Daily	Electronic/Paper	Electronic/Paper

Process Name: Process Participant Disbursement Request

Data Flow Name	Direction	Currency	Timing	Current Media	Acceptable Media
Disbursement Request w/ Amount	To/from Participant from/to EASI/ED	Most Current Information	Interactive	Paper	Electronic/Voice/ Paper
Prompt for Disbursement Request	To Participant From EASI/ED	Most Current Information	Interactive	Paper	Electronic/Voice/ Paper
Student Statement of Account	To Participant From EASI/ED	Most Current Information	Interactive	Electronic/Paper	Electronic/Paper

Process Name: Process Special Allowance

Data Flow Name	Direction	Currency	Timing	Current Media	Acceptable Media
Pell Grant Administration Allowance Authorization	To School From EASI/ED	Most Current Information	Quarterly	Tape/Diskette	Electronic/Tape/ Diskette

Process Name: Reallocate Fund

Data Flow Name	Direction	Currency	Timing	Current Media	Acceptable Media
Award Amounts	To ED/OPE/AFMS From EASI/ED	No more than 1 year old	Annually	Tape	Electronic/Tape
Campus Based Authorization Award Notice	To School From EASI/ED	No more than 1 year old	Annually	Paper	Electronic/Paper
Expenditure Information	From school To EASI/ED	No more than 1 week old	Bi-Weekly	Not Applicable	Electronic
Special School List	From ED/OPE/AFMS To EASI/ED	Most Current Information	Interactive	Paper	Electronic/Paper

Process Name: Reconcile Drawdown

Data Flow Name	Direction	Currency	Timing	Current Media	Acceptable Media
Excess Cash Information	From School To EASI/ED	Most Current Information	Interactive	Paper	Electronic/Paper
Reconcile Detail Information	To School From EASI/ED	Most Current Information	Monthly	Electronic/Paper	Electronic/Paper
Reconciliation Information	To School From EASI/ED	Most Current Information	Monthly	Electronic/Paper	Electronic/Paper
Unused Funds Information	To/from ED/CFO from/to EASI/ED	Most Current Information	Monthly	Electronic/Paper	Electronic/Paper

3.2.4 Internal Interfaces

The Aid Origination Subsystem internal interfaces are represented as logical inter-process dependencies. Detailed information regarding these dependencies is located in Appendix B Internal Interfaces.

3.2.5 Subsystem Physical Access Mode

The following table presents the description the Project EASI/ED BARD requirements associated with the Aid Application Subsystem, and the type of physical access mode associated with the requirement:

Requirement Identifier	Requirement Description	Physical Access Mode
1060	The system shall allow participants to request simulations of possible financial aid packages and financing options, including: – simulating the participant's likely eligibility for Federal financial aid – simulating costs that would be incurred in attending a particular program at a given school – simulating the financial aid package options that may be available to the participant – simulating the financing options that may be available to the participant	Electronic
1090	The system shall allow individuals to authorize the release of specified data to specific schools and fund sources.	Electronic, fax, paper, voice
1280	The system shall provide a single point of interface for receiving student aid data and payment history for Federal loans.	Electronic, fax, paper, voice
1446	The system shall notify schools and participants when two or more disbursement records are received from different schools for the same academic period and the same participant.	Electronic/Paper
1492	The system shall allow the participant to request an endorser in the case of a failed credit check for a Direct PLUS Loan.	Electronic, fax, paper, voice
1495	The system shall notify the participant and the school of the credit check result for a Direct PLUS Loan.	Electronic, fax, paper, voice
1690	The system shall enable participants to apply for Federal financial aid with application mechanisms available 24 hours a day, 7 days a week.	Electronic, fax, paper, voice
1692	The system shall provide the ability to register participants for the Selective Service if the participant requests to do so.	Electronic
1742	The system shall receive a signature/authentication from participants to endorse an aid application, multi-year promissory note, or waiver to release information to or from external databases.	Electronic, fax, paper, voice
1750.01	The system shall verify with the Selective Service if the applicant has complied with draft registration.	Electronic
1750.02	The system shall verify the immigration status of a participant who is not a US citizen with the Immigration and Naturalization Service (INS).	Electronic
1750.03	The system shall verify the participant's name, social security number and citizenship status with the Social Security Administration (SSA).	Electronic
1750.04	The system shall obtain information from the Internal Revenue Service (IRS) on the applicant's taxable and	Electronic

Requirement Identifier	Requirement Description	Physical Access Mode
	untaxable income.	
1750.05	The system shall verify the participant's untaxable income information with Health and Human Services (HHS).	Electronic
1750.06	The system shall verify the applicant's veteran's benefits income information with the Veteran's Administration (VA).	Electronic
1750.07	The system shall obtain applicant personal information from third party repositories created by private and state organizations for the purpose of maintaining student information.	Electronic
1750.09	The system shall verify participant personal and income information with state agencies.	Electronic
1750.10	The system shall verify the participant's drug conviction status with the Department of Justice (DOJ).	Electronic, tape
1750.11	The system shall verify the participant's credit information with credit bureaus.	Electronic
1750.12	The system shall supply information on an applicant's eligibility for Title IV financial aid to third parties with the participant's authorization.	Electronic
1790	The system shall notify participants of their eligibility determination.	Electronic, fax, paper
1970	The system shall receive financial aid simulation modeling requests from participants.	Electronic, fax, paper, voice
1982	The system shall provide counseling on the financial aid application process to participants.	Electronic, fax, paper, voice
2000	The system shall notify the participant of repayment terms and conditions when they receive repayment counseling.	Electronic, fax, paper, voice
5310	The system shall process prior year award adjustments when necessary.	Electronic, paper